SPECIAL ISSUE: IN HONOR OF RUSSELL K. MONSON



Is a drought a drought in grasslands? Productivity responses to different types of drought

Charles J. W. Carroll¹ · Ingrid J. Slette¹ · Robert J. Griffin-Nolan² · Lauren E. Baur³ · Ava M. Hoffman⁴ · Elsie M. Denton⁵ · Jesse E. Gray¹ · Alison K. Post¹ · Melissa K. Johnston⁶ · Qiang Yu⁷ · Scott L. Collins³ · Yiqi Luo⁸ · Melinda D. Smith¹ · Alan K. Knapp¹

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Abstract

Drought, defined as a marked deficiency of precipitation relative to normal, occurs as periods of below-average precipitation or complete failure of precipitation inputs, and can be limited to a single season or prolonged over multiple years. Grasslands are typically quite sensitive to drought, but there can be substantial variability in the magnitude of loss of ecosystem function. We hypothesized that differences in how drought occurs may contribute to this variability. In four native Great Plains grasslands (three C_4 - and one C_3 -dominated) spanning a ~500-mm precipitation gradient, we imposed drought for four consecutive years by (1) reducing each rainfall event by 66% during the growing season (chronic drought) or (2) completely excluding rainfall during a shorter portion of the growing season (intense drought). The drought treatments were similar in magnitude but differed in the following characteristics: event number, event size and length of dry periods. We observed consistent drought-induced reductions (28–37%) in aboveground net primary production (ANPP) only in the C_4 -dominated grasslands. In general, intense drought reduced ANPP more than chronic drought, with little evidence that drought duration altered this pattern. Conversely, belowground net primary production (BNPP) was reduced by drought in all grasslands (32–64%), with BNPP reductions greater in intense vs. chronic drought treatments in the most mesic grassland. We conclude that grassland productivity responses to drought did not strongly differ between these two types of drought, but when differences existed, intense drought consistently reduced function more than chronic drought.

Keywords Drought · Grasslands · Drought attributes · Biomass

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☐ Charles J. W. Carroll charles.carroll@colostate.edu

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- Graduate Degree Program in Ecology and Department of Biology, Colorado State University, Fort Collins, CO 80523, USA
- Department of Biology, Syracuse University, Syracuse, NY 13244, USA
- Department of Biology, University of New Mexico, Albuquerque, NM 87131, USA
- Earth & Planetary Sciences, Johns Hopkins University, Baltimore, MD 21218, USA
- United States Department of Agriculture, Agricultural Research Service, Eastern Oregon Agricultural Research Center, Burns, OR 97720, USA

- United States Department of Agriculture, Agricultural Research Service, Central Plains Experimental Range, Fort Collins, CO 80526, USA
- National Hulunber Grassland Ecosystem Observation and Research Station, Institute of Agricultural Resources and Regional Planning, Chinese Academy of Agricultural Sciences, Beijing 100081, China
- Center for Ecosystem Science and Society, Department of Biological Sciences, Northern Arizona University, Flagstaff, AZ 86011, USA

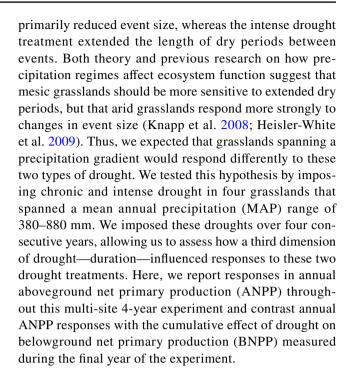


Introduction

Drought defined meteorologically as a "prolonged absence or marked deficiency of precipitation" (World Meteorological Organization 1992; Slette et al. 2019) affects ecological patterns and processes in most terrestrial biomes. While drought is often quantified in terms of a reduction in the amount of precipitation, there are additional dimensions of drought that can modify its impact on ecosystem structure and function (Luo et al. 2020). A given magnitude of drought may have varying impacts depending on drought timing (Jentsch et al. 2011; Zeiter et al. 2016; Denton et al. 2017) and duration (Hoover et al. 2014), as well as antecedent conditions (Sala et al. 2012; Gong et al. 2020). Other studies have concluded that there can be strong interactions between drought responses and the precipitation patterns occurring during drought years (Cherwin and Knapp 2012; Raz-Yaseef et al. 2010, 2012). Indeed, Felton et al. (2019) reported that precipitation pattern (event size, variability in the timing of events, etc.) affects ecosystem function primarily during years with below-average precipitation. In other words, precipitation patterns matter most when water is limiting.

In an analysis of precipitation regimes for extreme wet and dry years across major terrestrial biomes, Knapp et al. (2015) reported that, beyond precipitation amount, two precipitation attributes: (1) a lack of large rain events and (2) an increase in the average length of the dry periods between precipitation events were most important for distinguishing extreme wet and average years from extreme dry years. Thus, natural droughts tend to occur when reduced precipitation amounts are a result of smaller event sizes and longer dry periods relative to average or wet years. Disentangling the relative importance of these two dimensions of drought on ecosystem responses is a challenge under natural conditions because these attributes are often correlated (Knapp et al. 2015). However, with the intensification of the hydrological cycle resulting in an increase in large precipitation events and longer intervening dry periods (Easterling et al. 2000; Zobel et al. 2018; Papalexiou and Montanari 2019), there is a need to know how variations in these two precipitation attributes may alter ecosystem sensitivity to drought.

To assess how responsive ecosystems are to different types of drought, we experimentally imposed extreme drought for 4 years by reducing precipitation inputs into native grasslands either by (1) reducing each event by 66% during the entire growing season (hereafter chronic drought) or (2) completely excluding all rain events for a shorter portion of the growing season (hereafter intense drought). While both types of drought excluded similar total amounts of rainfall, the chronic drought treatment



Materials and methods

Study sites

We established four identical drought experiments in native Great Plains grasslands in 2013. The four sites spanned a~500-mm precipitation gradient with varying soil texture (Burke et al. 1989, 1991). Sites included a northern mixedgrass prairie at the High Plains Grasslands Research Center (HPG) near Cheyenne, WY, a semi-arid short-grass steppe at the Central Plains Experimental Range in NE Colorado (SGS), a southern mixed-grass prairie at the Hays Agricultural Research Center (HYS) near Hays KS, and an annually burned tallgrass prairie watershed at the Konza Prairie Biological Station (KNZ; Fig. 1, Table 1). The dominant species in these grasslands shift along the rainfall gradient from Bouteloua gracilis at SGS, to Pascopyrum smithii and B. gracilis at HPG to B. curtipendula, Schizachyrium scoparium, and P. smithii at HYS, and finally to Andropogon gerardii, and Sorghastrum nutans at KNZ. All sites were strongly C₄ dominated except HPG where the C₃ P. smithii dominates. Physiological differences between C₄ and C₃ species are well known and were consistent with the traits of these dominant species (see Monson et al. 1986; Taylor et al. 2010; Morgan et al. 2011). Within the C₄ grasses, growth rates and productivity increased from the drier to more mesic sites (McCulley et al. 2005), but all grass species rely predominantly on shallow soil layers for water uptake (Dodd and Lauenroth 1997; Nippert and Knapp 2007).



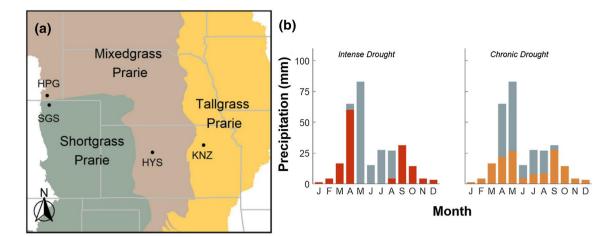


Fig. 1 a Site locations within the approximate ranges of shortgrass, mixedgrass, and tallgrass prairie of the Great Plains, U.S.A. b Example of how the two drought treatments differed. Control (gray) and treatment (color) precipitation (mm) by month for (left) intense (full

exclusion over a period of the growing season) and (right) chronic (66% reduction throughout the growing season) droughts at SGS in 2017

Table 1 Ambient mean annual precipitation (MAP mm), mean annual temperature (MAT °C) 2014–2017, and ambient mean aboveground net primary production (ANPP g m⁻²) 2013–2017 at the High Plains Grasslands Research Center (HPG), Central Plains Experiment Range (SGS), Hays Agricultural Research Center (HYS), and Konza Biological Station (KNZ). Average percent reductions in annual precipitation (PPT) and growing season precipitation (GSP) for each site across the duration of this experiment (2014–2017)

Site	MAP (mm)	MAT (°C)	ANPP (g m ⁻²)	% Δ PPT	% Δ GSP
HPG	309	8.8	120.6 (6.6)	-51.57	-66.60
SGS	289	9.5	138 (7.8)	-51.77	-67.99
HYS	570	13.1	281.1 (11.6)	-46.87	-57.26
KNZ	825	13.5	583.4 (26.4)	-44.15	-58.40

At each site, 10 blocks, each containing three 6×6 m plots (n = 30 plots total per site), were established in an area with relatively homogeneous vegetation and minimal slope. Blocks were separated by at least 5 m, and plots within each block were located at least 2 m apart. Each plot was trenched around the perimeter to 40-50 cm and lined with plastic sheeting to hydrologically isolate them from the surrounding soil. Aboveground, 10 cm of aluminum flashing was partially buried around the perimeter of each plot to limit overland flow. Commercially available greenhouse structures (Stuppy, Inc, North Kansas City, MO) were installed over 20 of the plots. The frames were fitted with clear polycarbonate plastic (Dynaglas, Palram Americas Ltd) roofs designed to deflect either a fixed portion of each rain event (Yahdjian and Sala 2002) or completely exclude all rain. Because there were no side or end walls to impede airflow, the impacts of these roofs on plot microclimatic and plant ecophysiological processes are minimal (Loik et al. 2019). Only the central 5×5 m area of the plot was dedicated to sampling to provide a buffer that minimized edge effects and occasional wind-driven rain inputs (Griffin-Nolan et al. 2019). Each 5×5 m plot was divided into four 2×2 m subplots (each with a 50 cm buffer) and randomly assigned to either plant species composition sampling or destructive sampling measurements. None of the sites were grazed by large ungulates over the study period.

Drought treatments

The experiment consisted of three drought treatments that were randomly assigned to the three plots within each block at each site: ambient precipitation, chronic drought—a 66% reduction in each rainfall event throughout the duration of the growing season (approximately April 1–September 15), and intense drought—a complete exclusion of every rain event for a shorter portion of the growing season (typically late-April, early-May to mid, late-August depending on ambient precipitation patterns). The chronic treatment was achieved using a roof comprised of clear corrugated polycarbonate plastic sheeting cut into 15.25-cm strips spaced to cover 66% of the roof area. The intense treatment was achieved with a complete roof made from panels of clear corrugated polycarbonate sheeting. All roof edges were equipped with rainfall gutters and corrugated tubing to collect and divert the rainfall from each plot. Roofs were installed at the beginning of each growing season and removed at the end of each growing season. The ambient precipitation treatments did not have greenhouse shelters in place, but the widely spaced (1.2 m) metal greenhouse support structures would be expected to have minimal microclimatic effects. Aboveground ambient precipitation and



temperature were monitored via a tipping rain gauge and a relative humidity sensor (Campbell Sci CS215). Drought treatment precipitation levels were calculated based on expected reductions from ambient conditions. Rainfall event sizes were compared to and adjusted based on soil moisture sensor data to account for lateral rainfall blowing into the plots. All rainfall events less than 0.3 mm were excluded from calculations and gaps in ambient records were filled using nearby rain gauges.

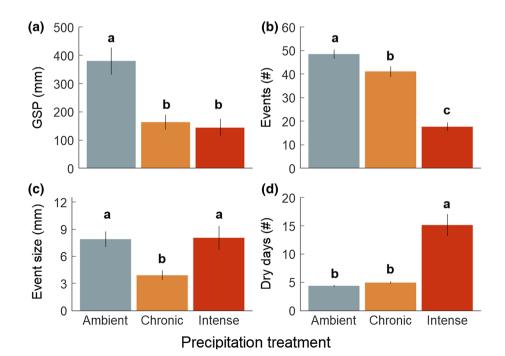
The two drought treatments were imposed beginning in 2014. Both drought treatments excluded similar amounts of precipitation each growing season, but other precipitation attributes (event size, number, time between events) differed markedly (Fig. 2, Tables S1–S3). We estimated aboveground net primary production (ANPP g m⁻²) at the end of the growing season in the pre-treatment year (2013) and annually from 2014 to 2017. At the end of each growing season, all aboveground biomass was harvested to the ground surface within three 20 × 50 cm quadrats randomly located within the 2×2 m subplot dedicated to biomass sampling in each plot at each site. Quadrats were flagged to prevent subsequent resampling. Biomass was separated in the field into grass, forb, woody (if present), and previous year's dead material. Biomass samples were dried at 60 °C for 48 h and then weighed to the nearest 0.01 g.

In 2017, the last year of the imposed drought, we estimated belowground net primary production (BNPP g m⁻²) using root ingrowth cores to measure fine root mass production. At the start of the growing season, three soil cores (5-cm diameter, 20-cm deep) were taken from the same subplot within each plot at each site that was not otherwise

allocated for community composition or ANPP. A 20-cm cylindrical mesh basket filled with sieved, root-free soil (previously collected adjacent to the site) was placed into each of the holes created by the soil cores. Any space between the ingrowth core and the intact soil was carefully filled with sieved soil. All ingrowth cores were removed at the end of the growing season, placed in plastic bags, and stored at 4 °C until processing. Each core was cut in half at a depth of 10 cm, yielding two depth increments (0-10 cm and 10–20 cm below soil surface) that were each processed individually. All soil was washed off the roots by first wet sieving the ingrowth core depth increment to remove excess soil and then submerging the remaining sample in a shallow bowl of water and carefully picking out roots and removing any attached soil. Roots were dried at 60 °C for at least 48 h and were weighed to the nearest 0.0001 g.

Differences in drought characteristics (growing season precipitation (GSP), event number, event size, and average number of dry days between events) across all sites were determined using analysis of variance (ANOVA) with site, treatment, and their interaction as fixed effects for each drought variable modeled separately. All years were pooled together as the actual drought characteristics of each year were independent through time. Drought impacts on ANPP were assessed with separate repeated measures mixed-model ANOVAs for each site with year, treatment, and their interaction as fixed effects and sampling block as a random effect due to repeated measurements in the same plots over time. Each site was assessed independently allowing us to focus on responses to the two types of drought within each site, rather than on differences driven by the fivefold range

Fig. 2 Precipitation attributes (mean + SE) for ambient conditions and the two drought treatments combined across the four grasslands (Fig. 1). Different letters indicate significant differences using Tukey adjusted pairwise comparisons: $\alpha = 0.05$. a Growing season (April 1-September 15) precipitation (mm); b Average number of rain events > 0.3 mm during the growing season; c Average size (mm) of a rain event during the growing season; **d** length (days) of average dry period experienced between rain events during the growing season. See Table S4 for summary statistics for all sites pooled together as shown above





in productivity across these different grasslands (Table 1). Lastly, the single-year estimates of BNPP and root depth distribution ratios were assessed by mixed-model ANOVA with site, treatment, and interactions as fixed effects and block as a random effect. These analyses were followed by Tukey HSD pairwise comparisons. All calculations assessed significance based on $\alpha = 0.05$ and analyses were performed with R version 3.5.1 and the lme4 package (Bates et al. 2015).

Results

Drought attributes

Across all sites, intense and chronic droughts together reduced annual precipitation by ~48% (from 44% at KNZ to 51% at CHY) and growing season precipitation by ~62% (57% at HYS to 68% at SGS) compared to ambient conditions over the course of the experiment (F = 31.34, p < 0.001; Fig. 2; Tables S1-S5). Not surprisingly, event size was significantly reduced in the chronic treatment (F = 11.13, p < 0.001), with this effect also varying by site (F = 14.19, p < 0.001). Number of events differed significantly by treatment (F = 67.69, p < 0.001) but not site, with the intense drought reducing event number more than the chronic treatment. Lastly, the average number of dry days between rainfall events was, as expected, significantly different by treatment (F = 37.09, p < 0.001) but not site, with the intense drought treatment imposing a greater number of dry days than either the chronic or ambient treatments. In sum, both drought treatments reduced precipitation from ambient by similar amounts, with the chronic treatment primarily reducing event size and the intense treatment reducing event number and increasing the length of dry periods.

ANPP responses to drought

Prior to the installation of the rainfall exclusion infrastructure, overall levels of ANPP were not statistically different across treatments at any site in 2013 (p > 0.05). In 2013, ANPP at HPG was lower than expected due to previously identified negative legacy of the substantial 2012 drought in the Great Plains (Griffin-Nolan et al. 2018) which impacted this site more than the other three included in this study. Once the drought treatments were initiated, sites did differ dramatically in how ANPP responded to drought. Most notable was the overall lack of response of the C3-dominated HPG site to drought (ANPP was reduced by only 6%), with significant drought effects only evident in the first year (Fig. 3). A lack of ANPP reductions in response to summer drought has been noted previously in C3-dominated Northern Mixed grasslands in the central US-based largely on the timing of growth and water availability (Knapp et al. 2020; Frank 2007, see Discussion). In contrast, ANPP reductions for the C_4 -dominated sites averaged 33% (SGS = 28%; HYS = 37%; KNZ = 35%).

Beginning in 2014, each of the three C_4 -dominated sites responded differently to the drought treatments over time with most consistent statistically significant reductions in ANPP for the more mesic sites (Fig. 3; Table S6). Plots at the most xeric site (SGS), experienced two years (2015 and 2017) of significant declines in ANPP, but no significant effect in 2014 or 2016. At HYS and KNZ, drought treatments in 2014 resulted in significant declines in the intense treatment only; while for all subsequent years, both types of drought resulted in significant declines in ANPP (Fig. 3, all p < 0.05).

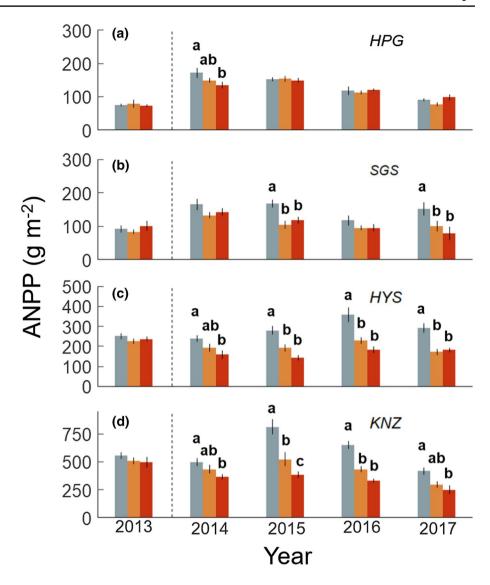
In almost all years, ANPP was reduced in droughted plots compared to ambient, but the different types of drought often did not differ from one another. However, responses to these two types of drought were not identical. In four cases, HPG in 2014, HYS in 2014, KNZ in 2014 and 2017, ANPP in the chronic treatment was not different from ambient, but ANPP was significantly reduced by the intense drought treatment. Finally, at the wettest site (KNZ), the two drought treatments differed from one another in 2015, with the intense treatment resulting in significantly lower ANPP than the chronic treatment. While this was the sole example of differential sensitivity between the two drought types, the trend in nearly all cases was for greater declines in ANPP in the intense than in the chronic drought treatment. Lastly, we observed little evidence of drought duration affecting ANPP, with only KNZ experiencing a significant treatment by year interaction (Table S6). The significant interaction at this site can likely be attributed to the differences between the intense and chronic droughts in 2015; however, this was not a directional response as the later years of the experiment did not experience the largest drought effects.

BNPP responses to drought

After 4 years of drought in 2017, BNPP to 20-cm depth declined significantly at each of the C₄-dominated sites compared to ambient, although the decline was not statistically significant at HPG (Fig. 4; Tables S7). The relative magnitude of these responses (averaged across drought types) varied from 30–35% reductions at HPG and HYS to 50–65% reductions at SGS and KNZ, and in all cases, BNPP was reduced more than ANPP in the fourth year of the treatments (ANPP responses were 35–42% in year 4 in the C₄ grasslands, Fig. 3). Similar to the aboveground patterns, greater reductions in BNPP were observed in the intense plots primarily at KNZ, suggesting that the intense drought differentially impacted both above and belowground productivity. In contrast, the ratio of fine root production in the shallow (0–10 cm) vs. deeper (10–20 cm) soil layers



Fig. 3 Aboveground net primary production (ANPP, mean \pm SE) responses to 4 years of ambient (gray), chronic rainfall exclusion (orange), and intense rainfall exclusion (red) treatments in **a-d** four field sites (Fig. 1a). Included is the pretreatment year (2013) and four treatment years (2014–2017). Different letters indicate significant differences using Tukey adjusted pairwise comparisons: α =0.05



was significantly reduced at only the C_3 -dominated semiarid site (HPG) with no shift to deeper root allocation in the C_4 -dominant, more mesic sites (Table S8).

Discussion

Variability in grassland responses to extreme drought

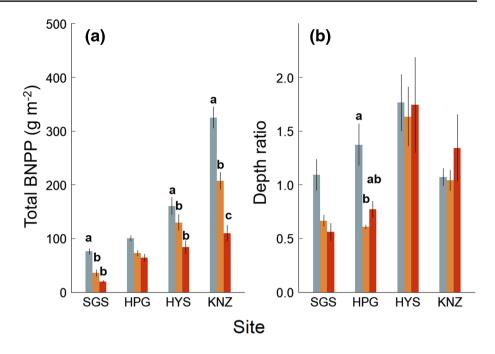
Based on the previous studies, drought-induced reductions in productivity are expected to be greater (relative to ambient treatments) in more xeric than mesic ecosystems (Huxman et al. 2004; Vicente-Serrano et al. 2013; Knapp et al. 2015; Gherardi and Sala 2018; Maurer et al. 2020), although some exceptions to this pattern have been noted (Liu et al. 2013; Tielbörger et al. 2014). In our study, distinct differences in drought sensitivity related to mean annual

precipitation (MAP) were not evident, perhaps due to the modest (~500 mm) precipitation gradient encompassed by these four grasslands. By comparison, Huxman et al. (2004) concluded that drought sensitivity decreased as MAP increased by comparing ecosystems spanning a > 2500-mm precipitation gradient. Average drought-induced reductions in ANPP for the three C_4 grasslands in this experiment ranged from 28% at SGS to 35 and 37% at KNZ and HYS, respectively. The magnitude of this drought response compares favorably to responses to the natural 2012 extreme drought observed at these same sites (24–53%, Knapp et al. 2015). However, SGS was most sensitive to the 2012 drought; whereas, HYS was most responsive to the drought treatments in our study.

Somewhat surprisingly, in three of the four treatment years, there was no drought-induced reduction in ANPP in the C₃-dominated grassland (HPG). This contrasts with the response of this grassland to the 2012 drought (Knapp



Fig. 4 a Response of belowground net primary production (BNPP, 0-20 cm, mean \pm SE) in the final year (2017) of a 4-year period of either intense or chronic drought. Ambient treatments (gray), chronic rainfall exclusion (orange) and intense rainfall exclusion (red) with different letters indicating significant differences within each site. b The ratio of BNPP for shallow (0-10 cm) vs. deeper (10-20 cm) depths. Smaller values indicate more BNPP was allocated at the deeper soil



et al. 2015) but is consistent with lack of ANPP response to drought measured in other C₃-dominated grasslands (Frank 2007; Kreyling et al. 2008). Further, in experiments comparing responses of C₃ vs. C₄ grasslands to alterations in summer precipitation inputs, C₃ grasslands were less responsive (Wilcox et al. 2015). It is likely that the timing of drought compared to when C₃ northern mixed grassland species undergo biomass accumulation explains this variability in response (see Knapp et al. 2020). Drought that develops during the warm summer months is likely to have the least impact on ANPP in ecosystems dominated by C₃ grasses that are more active in cooler months. Indeed, extensive ecophysiological studies comparing the C₃ grass P. smithii (comprising as much as 70% of aboveground biomass at HPG) to the C_4 grass B. gracilis (dominant at the SGS) have documented clear photosynthetic adaptations to cooler temperatures for P. smithii (Monson and Williams 1982, Monson et al. 1982, 1983), and greater water-use efficiency in B. gracilis, particularly in warmer months (Monson et al. 1986). Despite considerable overlap in seasonal growth dynamics between these two grasses, due to the growth habit of B. gracilis in warm microenvironments next to the ground surface (Monson et al. 1986), the accumulation of ANPP generally occurs earlier in the growing season in C₃ grasslands, driven by winter and early spring precipitation, reducing the sensitivity of these grasslands to summer drought (Frank 2007).

Intense vs. chronic drought

Although the magnitude of precipitation reduction was similar for the chronic and intense drought treatments, they differed distinctly in two ways: (1) timing: the intense drought

began later in the growing season and ended earlier than the chronic drought treatment, and (2) attributes: rain event number was much lower and the time between events (consecutive dry days) much longer in the intense drought treatment, whereas the average rain event size was much smaller in the chronic drought treatment (Fig. 2). As noted above, C3 grasslands would be expected to be most affected by differences in the timing of drought initiation in the spring. The lack of ANPP responses to both types of drought suggests that most aboveground growth had already occurred prior to the onset of significant water stress in both treatments. Across the three C₄ grasslands, theory (Knapp et al. 2008) and past experiments (Knapp et al. 2001; Heisler-White et al. 2009; Thomey et al. 2011; Cherwin and Knapp 2012; Baez et al. 2013) suggest that xeric ecosystems should be more sensitive to changes in event size, whereas mesic systems should respond more to a lengthening of time between events. Our results do not support these predicted differences. When there was evidence of differences in sensitivity between the two types of drought, ANPP was always reduced more in the intense drought treatment (Fig. 3). This suggests that these grasslands were more sensitive to drought characterized by extended periods of no rain (intense) than to reductions in event size (chronic).

Duration of drought

Grassland productivity in any given year is well known to be sensitive to antecedent conditions from previous years (Seastedt and Knapp 1993; Oesterheld et al. 2001; Sala et al. 2012; Griffin-Nolan et al. 2018). We anticipated that as drought duration increased, reductions in ANPP relative



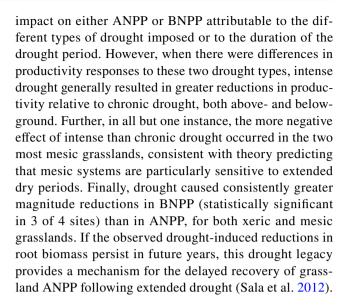
to ambient treatments would increase, regardless of drought type (Orth et al. 2020). We found, however, little evidence of cumulative drought effects (Fig. 3), with the possible exception of KNZ. In this case, increased sensitivity to the intense drought treatment occurred in the second year of the experiment, but this pattern did not continue in later years, as would be expected if the effects of drought duration were compounding. Interannual variability in precipitation and ANPP is substantial throughout the Great Plains and Southwestern US (Knapp and Smith 2001; Maurer et al. 2020). During this experiment ANPP at each site varied 1.5- to twofold among years driven by 34-90% differences in growing season precipitation (Fig. 3, Table S2). Such variability can make identifying temporal trends difficult when drought responses are quantified relative to ambient conditions (Hoover et al. 2018), and can lead to multiple ecosystem responses to lower ambient precipitation based on drought magnitude, duration, and timing (Hoover and Rogers 2016).

Belowground responses

Overall, BNPP (0-20 cm) was more sensitive to drought than ANPP in the final year of the 4-year experiment (Fig. 4). This pattern was particularly striking in the C₃ grassland (HPG) where ANPP was not affected by either drought treatment, but BNPP was reduced by 32%, albeit this was not a statistically significant decline. Similarly, Frank (2007) reported that ANPP in C₃ grasslands in Yellowstone National Park was unresponsive to summer drought, while BNPP (0-20 cm) was reduced by 39%. Belowground, evidence that intense drought reduced productivity more than chronic drought was clear in the most mesic grassland (KNZ), consistent with the theory that mesic grasslands are more sensitive to prolonged dry periods than to reduced precipitation event size. Finally, there is some evidence that during drought, root systems allocate proportionally more biomass to deeper soils (i.e., Arndal et al. 2018). This was evident in the two semi-arid grasslands where shallow soils were likely driest during drought, but not in the more mesic sites (Fig. 4).

Conclusions

Grassland productivity responses to drought can vary significantly and we hypothesized that this variability could be attributed, in part, to key characteristics (event size, event number, duration of dry periods) and/or duration of drought. However, after imposing drought either by reductions in rainfall event size (chronic drought) or the complete exclusion of precipitation (intense drought) in four distinct grassland types, we have only limited support for this hypothesis. In general, there was no consistent



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Author contribution statement CJWC and AKK wrote the initial draft, CJWC analyzed the data, AKK, MDS, IJS, and SLC edited the first draft, AKK, MDS, SLC, YL conceived the experiment, CJWC, IJS, RJG, LEB, AMH, EMD, JEG, AKP, MKJ, and QY established the experiment and collected data. All authors provided editorial advice.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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