

THEORETICAL PAPER

# Predicting responses of photosynthesis and root fraction to elevated $[\text{CO}_2]_a$ : interactions among carbon, nitrogen, and growth\*

Y. LUO<sup>1</sup>, C. B. FIELD<sup>2</sup> & H. A. MOONEY<sup>1</sup>

<sup>1</sup>Department of Biological Sciences, Stanford University, Stanford, CA 94305, USA, and <sup>2</sup>Department of Plant Biology, Carnegie Institution of Washington, Stanford, CA 94305, USA

## ABSTRACT

At elevated atmospheric  $\text{CO}_2$  concentrations ( $[\text{CO}_2]_a$ ), photosynthetic capacity ( $A_{\text{max}}$ ) and root fraction ( $\eta_R$ , the ratio of root to plant dry mass) increased in some studies and decreased in others. Here, we have explored possible causes of this, focusing on the relative magnitudes of the effects of elevated  $[\text{CO}_2]_a$  on specific leaf ( $n_m$ ) and plant ( $n_p$ ) nitrogen concentrations, leaf mass per unit area ( $h$ ), and plant nitrogen productivity ( $\alpha$ ). In our survey of 39 studies with 35 species, we found that elevated  $[\text{CO}_2]_a$  led to decreased  $n_m$  and  $n_p$  in all the studies and to increased  $h$  and  $\alpha$  in most of the studies. The magnitudes of these changes varied with species and with experimental conditions.

Based on a model that integrated  $[\text{CO}_2]_a$ -induced changes in leaf nitrogen into a biochemically based model of leaf photosynthesis, we predicted that, to a first approximation, photosynthesis will be upregulated ( $A_{\text{max}}$  will increase) when growth at increased  $[\text{CO}_2]_a$  leads to increases in  $h$  that are larger than decreases in  $n_m$ . Photosynthesis will be downregulated ( $A_{\text{max}}$  will decrease) when increases in  $h$  are smaller than decreases in  $n_m$ . The model suggests that photosynthetic capacity increases at elevated  $[\text{CO}_2]_a$  only when additional leaf mesophyll more than compensates the effects of nitrogen dilution.

We considered two kinds of regulatory paradigms that could lead to varying responses of  $\eta_R$  to elevated  $[\text{CO}_2]_a$ , and compared the predictions of each with the data. A simple static model based on the functional balance concept predicts that  $\eta_R$  should increase when neither  $n_p$  nor  $h$  is very responsive to elevated  $[\text{CO}_2]_a$ . The quantitative and qualitative agreement of the predictions with data from the literature, however, is poor. A model that predicts  $\eta_R$  from the relative sensitivities of photosynthesis and relative growth rate to elevated  $[\text{CO}_2]_a$  corresponds much more closely to the observations. In general, root fraction increases if the response of photosynthesis to  $[\text{CO}_2]_a$  is greater than that of relative growth rate.

Correspondence: Yiqi Luo, Biological Sciences Center, Desert Research Institute, University of Nevada System, P.O. Box 60220, Reno, NV 89506, USA.

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## INTRODUCTION

The responses of photosynthesis and root fraction (the ratio of root to total plant dry mass) to elevated  $[\text{CO}_2]_a$  are not consistent among species and vary with growth conditions (Eamus & Jarvis 1989; Farrar & Williams 1991; Stitt 1991). Growth at elevated  $[\text{CO}_2]_a$  led to increased photosynthetic capacity in *Solanum tuberosum* (Sage, Sharkey & Seemann 1989) but decreased photosynthetic capacity in *Phaseolus vulgaris* (Sage *et al.* 1989). The root fraction increased at elevated  $[\text{CO}_2]_a$  in *Eucalyptus camaldulensis* (Wong, Kriedemann & Farquhar 1992) but decreased in *Trifolium repens* (Ryle, Powell & Davidson 1992a). The response of photosynthesis in a single species to growth at elevated  $[\text{CO}_2]_a$  is also sensitive to environmental conditions. For example, the photosynthetic capacity of *Glycine max* increased in elevated  $[\text{CO}_2]_a$  in plants grown in the field (Campbell, Allen & Bowes 1988), remained unchanged in plants grown in 20 dm<sup>3</sup> pots (Teramura, Sullivan & Ziska 1990), and decreased in plants grown in 4 dm<sup>3</sup> pots (Bunce 1993).

The responses of leaf-level photosynthesis to elevated  $[\text{CO}_2]_a$  reflect a combination of adjustments in biochemical capacity and changes in leaf morphology. Changes in photosynthetic capacity can be induced by any or all of the interacting reaction complexes, including  $\text{CO}_2$  fixation by ribulose-1,5-bisphosphate carboxylase-oxygenase (Rubisco), ribulose bisphosphate (RuBP) regeneration and  $P_i$  regeneration (Sage *et al.* 1989; Sage 1990; Stitt 1991). When plants are exposed to elevated  $[\text{CO}_2]_a$ , one initial effect is that photosynthesis is more likely to be limited by the capacity to regenerate RuBP. Over a few days, the amount and activity of Rubisco may be regulated downwards to balance the limitation in the rate of RuBP regeneration (Sage 1990). Morphological changes in elevated  $[\text{CO}_2]_a$  may involve increased carbohydrate storage, leaf thickness, and mesophyll cell number per unit

storage, leaf thickness, and mesophyll cell number per unit of leaf area (Vu, Allen & Bowes 1989). Downregulation of the amount or activity of Rubisco per unit of leaf mass may be partially or completely compensated by additional growth of mesophyll cells per unit area, tending to preserve the total photosynthetic machinery per unit of leaf area.

Aspects of the variable responses of root fraction ( $\eta_R$ ) to increased  $[\text{CO}_2]_a$  were predicted by some models in the literature, but none of the published explanations appears to be completely general. The functional balance hypothesis of Brouwer (1962) and Davidson (1969) predicts that increased shoot activity (photosynthesis) should always be balanced by increased root mass. Yet, an increase in leaf mass per area resulting from carbohydrate storage tends to reduce shoot activity, making it difficult to predict whether growth at elevated  $[\text{CO}_2]_a$  will lead to increased photosynthesis per unit of shoot mass. Using the functional balance concept and a cost-benefit analysis, Hilbert, Larigauderie & Reynolds (1991) predicted that  $\eta_R$  should be largely unaffected by  $[\text{CO}_2]_a$ . The carbon/nitrogen substrate ratio, proposed by Reynolds & Thomley (1982) to regulate  $\eta_R$ , fails to explain  $\text{CO}_2$  effects on the root fraction of *Raphanus sativas*  $\times$  *raphanistrum* (Chu, Coleman & Mooney 1992).

A plant is a complex system with the potential to maintain root:shoot balance through a number of mechanisms (Mooney & Chiariello 1984). In elevated  $[\text{CO}_2]_a$ , enhanced shoot function may be balanced by adjustments not only in root fraction but also in other physiological and morphological processes. For example, leaf mass per unit area ( $h$ ) and nitrogen productivity ( $\alpha$ ; dry matter production per unit of nitrogen) usually increase with  $[\text{CO}_2]_a$  (Sage *et al.* 1989; Field *et al.* 1992). If photosynthesis per unit area increases less than  $h$ , activity per unit of leaf mass decreases. In addition, increased  $\alpha$  means that less nitrogen is needed to produce a unit of plant biomass, suggesting that the nitrogen requirements of a shoot of a given size can be met by a smaller root system. Thus, functional balance may be consistent with decreased  $\eta_R$  as a consequence of other physiological and morphological adjustments that affect root fraction.

The work presented here was intended to provide a new framework for predicting variable responses of photosynthesis and root fraction to elevated  $[\text{CO}_2]_a$ . We reviewed the effects of elevated  $[\text{CO}_2]_a$  on leaf ( $n_m$ ) and plant ( $n_p$ ) nitrogen concentrations,  $h$ , and  $\alpha$ . To model the response of photosynthesis to elevated  $[\text{CO}_2]_a$ , we integrated the  $[\text{CO}_2]_a$ -induced changes in  $n_m$  and  $h$  into the biochemically based model of Farquhar, von Caemmerer & Berry (1980) via the photosynthesis–nitrogen relationship (Field 1983; Harley *et al.* 1992). In order to understand the response of  $\eta_R$  to elevated  $[\text{CO}_2]_a$ , we explored two kinds of approach. One is based on the hypothesis that  $\eta_R$  is regulated by the balance between root and shoot functions. The second approach is based on the hypothesis that  $\eta_R$  is controlled by the relative responses of photosynthesis and growth. We compared the observations with model predic-

tions and proposed possible mechanisms for the responses of photosynthesis and root fraction to elevated  $[\text{CO}_2]_a$ .

## MODELS

### The leaf photosynthesis model

Plant growth at elevated  $[\text{CO}_2]_a$  leads to changes in the biochemical capacity of photosynthesis but not in the nature of the photosynthetic reactions. Thus, a model that captures the essential features of photosynthesis for plants grown in ambient  $[\text{CO}_2]_a$  should be adaptable for plants grown in elevated  $[\text{CO}_2]_a$  (Harley *et al.* 1992). We explored leaf-level responses to growth at elevated  $[\text{CO}_2]_a$  with the widely used model of Farquhar *et al.* (1980), modified by Sharkey (1985) to include the possibility of  $P_i$  limitation. We made the maximum carboxylation rate ( $V_{cmax}$ ), the maximum electron transport rate ( $J_{max}$ ), the phosphate release rate in triose phosphate utilization (TPU), and dark respiration ( $\rho$ ) linear functions of nitrogen per unit leaf area [ $n_a$ , g(N) m(leaf area)<sup>-2</sup>; Field 1983; Harley *et al.* 1992] (see Appendix 1). The latter is related to leaf nitrogen per unit of leaf mass [ $n_m$ , g(N) g(dry wt)<sup>-1</sup>] and leaf mass per unit of area [ $h$ , g(dry wt) m(leaf area)<sup>-2</sup>] by

$$n_a = n_m h. \quad (1)$$

To explore the effects of  $[\text{CO}_2]_a$ -induced changes in  $n_m$  and  $h$  on photosynthesis, we focused on the relative responses  $n_{m,e}/n_{m,a}$  and  $h_e/h_a$ , where the subscripts a and e refer to plants grown at ambient (350  $\mu\text{mol mol}^{-1}$ ) and elevated (700  $\mu\text{mol mol}^{-1}$ )  $[\text{CO}_2]_a$ , respectively. Note that, when  $(n_{m,e}/n_{m,a}) \times (h_e/h_a) = 1$ , leaf nitrogen per unit of area does not change in response to growth at elevated  $[\text{CO}_2]_a$ . Nitrogen per unit of area decreases in response to growth at elevated  $[\text{CO}_2]_a$  when  $(n_{m,e}/n_{m,a}) \times (h_e/h_a) < 1$  and increases when  $(n_{m,e}/n_{m,a}) \times (h_e/h_a) > 1$ .

For all calculations with the photosynthesis model, intercellular  $[\text{CO}_2]$  was iteratively calculated from measurement  $[\text{CO}_2]$ , stomatal conductance, and photosynthesis (Appendix 1). To delineate the zones of upregulated and downregulated photosynthesis for plants grown at elevated  $[\text{CO}_2]_a$ , we numerically searched for the value of  $h_e/h_a$  at each  $n_{m,e}/n_{m,a}$  such that photosynthetic capacity at  $\text{CO}_2$  saturation ( $A_{max}$ ) for plants grown at  $[\text{CO}_2]_a = 700 \mu\text{mol mol}^{-1}$  was equal to that for plants grown at  $[\text{CO}_2]_a = 350 \mu\text{mol mol}^{-1}$ . Values of  $h_e/h_a$  larger and smaller than this result in, respectively, upregulated and downregulated photosynthesis. A similar search for the value of  $h_e/h_a$  for each  $n_{m,e}/n_{m,a}$  where the rate of photosynthesis in leaves grown and measured at  $[\text{CO}_2]_a = 700 \mu\text{mol mol}^{-1}$  is the same as that in leaves grown and measured at  $[\text{CO}_2]_a = 350 \mu\text{mol mol}^{-1}$  locates the boundary between downregulated and depressed photosynthesis.

### The root fraction model based on the functional balance concept

Brouwer (1962) first proposed a functional equilibrium concept. Davidson (1969) extended this concept with the

postulate that total shoot activity should be proportional to total root activity as

$$\eta_S \sigma_S \propto \eta_R \sigma_R, \quad (2)$$

where  $\sigma_S$  is the shoot activity and is expressed in terms of total plant growth [g(plant dry wt) g(shoot dry wt)<sup>-1</sup> d<sup>-1</sup>],  $\sigma_R$  is the root activity [g(plant dry wt) g(root dry wt)<sup>-1</sup> d<sup>-1</sup>],  $\eta_S$  is the shoot fraction [g(shoot dry wt) g(plant dry wt)<sup>-1</sup>], and  $\eta_R$  is the root fraction [g(root dry wt) g(plant dry wt)<sup>-1</sup>]. With these definitions, the proportionality in Eqn 2 becomes an equality. In terms of the variables used here,

$$\sigma_S = \frac{A_d}{h} \quad (3)$$

and

$$\sigma_R = \frac{N_u}{n_p} \quad (4)$$

where  $A_d$  is the daily net assimilation rate [g(dry wt) m(leaf area)<sup>-2</sup> d<sup>-1</sup>],  $N_u$  is the nitrogen uptake rate [g(N) g(root dry wt)<sup>-1</sup> d<sup>-1</sup>], and  $n_p$  is the plant nitrogen concentration [g(N) g(dry wt)<sup>-1</sup>]. Using Eqns 3 and 4 to substitute for  $\sigma_S$  and  $\sigma_R$ , replacing  $\eta_S$  with  $1 - \eta_R$ , and rearranging, we obtain

$$\eta_R = \frac{A_d n_p}{h N_u + A_d n_p} \quad (5)$$

With this formulation,  $\eta_R$  should depend on the relative effects of elevated [CO<sub>2</sub>]<sub>a</sub> on photosynthesis, leaf mass per unit area, plant nitrogen, and nitrogen uptake rate.

### The root fraction model based on the photosynthesis: growth balance concept

An alternative model for  $\eta_R$  is derived from the photosynthesis: growth balance concept. The relative growth rate of a plant [ $R$ , g(dry wt) g(dry wt)<sup>-1</sup> d<sup>-1</sup>] is determined by the daily net assimilation rate ( $A_d$ ), the leaf weight ratio [ $\eta_L$ , g(leaf dry wt) g(plant dry wt)<sup>-1</sup>], and the leaf mass per unit area ( $h$ ) (Lambers & Poorter 1992) as

$$R = A_d \frac{\eta_L}{h} \quad (6)$$

The plant relative growth rate is also proportional to  $n_p$  according to the concept of nitrogen productivity (Ågren 1985):

$$R = \alpha n_p \quad (7)$$

where  $\alpha$  is the plant nitrogen productivity [g(dry wt) g(N)<sup>-1</sup> d<sup>-1</sup>].

The concept of nitrogen productivity has been very useful in explaining the response of  $\eta_R$  to the rate of nitrogen supply (Ågren 1985; Ågren & Ingestad 1987). We used it

here as a possible approach to understanding responses of  $\eta_R$  to elevated [CO<sub>2</sub>]<sub>a</sub>. For a herbaceous plant without a significant amount of stem tissue,  $\eta_S = \eta_L$ . Making this substituting and solving Eqn 6 for  $\eta_R$  we obtain

$$\eta_R = 1 - \frac{Rh}{A_d}, \quad (8)$$

and substitution for  $R$  from Eqn 7 gives

$$\eta_R = 1 - \frac{n_p \alpha h}{A_d} \quad (9)$$

With this formulation, the root fraction ( $\eta_R$ ) should depend on the relative effects of [CO<sub>2</sub>]<sub>a</sub> on growth (or nitrogen and nitrogen productivity), photosynthesis, and leaf mass per unit area. Note that with the formulation based on nitrogen productivity (Eqn 9) increased  $n_p$  leads to decreased  $\eta_R$ , whereas in the formulation based on functional balance (Eqn 5), increased  $n_p$  leads to increased  $\eta_R$ .

The daily net assimilation rate ( $A_d$ ) was calculated by integrating the daily course of leaf photosynthesis (Hirose & Werger 1987; Hilbert *et al.* 1991), which was predicted from the photosynthesis model with a daily course of light intensities given by Hirose & Werger (1987):

$$I = I_0 \sin^2[\pi(t-6)/12] \quad (6 \leq t < 18), \\ I = 0 \quad (0 \leq t < 6, 18 \leq t < 24), \quad (10)$$

where  $I$  is the instantaneous photon flux density (PFD,  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ),  $I_0$  is the maximum PFD ( $= 1000 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) at noon ( $t = 12$ ), and  $t$  is solar time (h). For this calculation, we made the following assumptions: the leaf temperature was 25 °C; all leaves were horizontal and fully illuminated and the leaf nitrogen concentration was proportional to plant nitrogen with a proportionality that did not change with [CO<sub>2</sub>]<sub>a</sub> (Coleman & Bazzaz 1992). The daily photosynthesis was converted to dry weight by dividing by 1.54 (Hilbert *et al.* 1991).

The photosynthesis model (Appendix 1) makes daily net assimilation rate ( $A_d$ ) a function of  $n_m$  and  $h$ . By running the photosynthesis model in a range of  $n_m$  and  $h$ , and substituting for  $A_d$  in Eqns 5 and 9, we can predict the response of  $\eta_R$  to  $n_m$  and  $h$ . With the assumption that the proportionality does not change with [CO<sub>2</sub>]<sub>a</sub>, we can substitute  $n_p$  for  $n_m$ . Thus, the root fraction ( $\eta_R$ ) can be predicted from  $n_p$ ,  $N_u$ , and  $h$  in Eqn 5 and from  $n_p$ ,  $\alpha$ , and  $h$  in Eqn 9. Of these, the nitrogen uptake rate ( $N_u$ ) is assumed to be unaffected by growth at elevated [CO<sub>2</sub>]<sub>a</sub>. As with  $n_m$  and  $h$ , we plotted the relative responses of  $n_p$ ,  $\alpha$ ,  $R$ , and  $A_d$  as  $X_e/X_a$ , where  $X_e$  and  $X_a$  are the values of the parameters for plants grown under, respectively, elevated and ambient [CO<sub>2</sub>]<sub>a</sub>.

To identify zones of the parameter space where the model predicts increased and decreased  $\eta_R$ , we numerically searched for the combinations of  $n_{p,e}/n_{p,a}$  and  $h_e/h_a$  (Eqn 5),  $R_e/R_a$  and  $(A_{d,e}/h_e)/(A_{d,a}/h_a)$  (Eqn 8), or  $n_{p,e}/n_{p,a}$ ,  $h_e/h_a$  and  $\alpha_e/\alpha_a$  (Eqn 9) that gave the same root fraction for plants grown at elevated and ambient [CO<sub>2</sub>]<sub>a</sub>.

## RESULTS AND DISCUSSION

## Leaf and plant carbon and nitrogen

We reviewed the effects of increased  $[\text{CO}_2]_a$  on specific leaf nitrogen concentration ( $n_m$ ), leaf mass per unit area ( $h$ ), plant nitrogen concentration ( $n_p$ ), and nitrogen productivity ( $\alpha$ ) for 35 species in 39 published studies. Plant growth periods in those experiments ranged from 22 d to 27 months. For the experiments with multiple nitrogen treatments, data for the highest nitrogen treatment were chosen.

Specific leaf ( $n_m$ ) and plant ( $n_p$ ) nitrogen concentration always decreased in elevated  $[\text{CO}_2]_a$ . The decreases in  $n_m$  ranged from 2% for *Abutilon theophrasti* (Coleman & Bazzaz 1992) to 57% for *Brassica oleracea* (Sage et al.

1989). Decreases in  $n_p$  varied from 2% for *Trifolium repens* (Ryle et al. 1992a) to 37% for *Triticum aestivum* (Hocking & Meyer 1991a) (Table 1). Leaf mass per unit area ( $h$ ) and plant nitrogen productivity ( $\alpha$ ) almost always increased with  $[\text{CO}_2]_a$ . Changes in  $h$  ranged from -4% for *Trifolium repens* (Ryle et al. 1992a) to +129% for *Gossypium hirsutum* (Delucia, Sasek & Strain 1985). Changes in  $\alpha$  varied from -5% for *Eucalyptus pauciflora* to +80% for *Triticum aestivum* (Hocking & Meyer 1991a) (Table 1).

Plants grown in elevated  $[\text{CO}_2]_a$  frequently accumulate carbohydrate in leaves and other tissues as starch, soluble sugars and structural compounds. Increased  $h$  results from both increased growth of mesophyll tissues and starch accumulation in leaves. Decreased nitrogen in leaves may be a consequence of a combination of dilution from in-

**Table 1.** Relative changes in specific leaf nitrogen concentration ( $n_{m,e}/n_{m,a}$ ), leaf mass per unit area ( $h_e/h_a$ ), plant nitrogen productivity ( $\alpha_e/\alpha_a$ ), and plant nitrogen concentration ( $n_{p,e}/n_{p,a}$ ) in elevated  $[\text{CO}_2]_a$  in comparison to those in ambient  $[\text{CO}_2]_a$

Species	$n_{m,e}/n_{m,a}$	$h_e/h_a$	$\alpha_e/\alpha_a$	$n_{p,e}/n_{p,a}$	Reference
<i>Abutilon theophrasti</i>	0.98	0.99	1.48	0.85	Coleman & Bazzaz (1992)
<i>Acer rubrum</i>		1.22			Miao, Wayne & Bazzaz (1992)
<i>Amaranthus retroflexus</i>	0.89	1.15	1.2	0.82	Coleman & Bazzaz (1992)
<i>Artemisia tridentata</i>	0.74	1.25			Johnson & Lincoln (1991)
<i>Betula alleghaniensis</i>	0.69				Rocheffort & Bazzaz (1992)
<i>Betula lenta</i>	0.78				Rocheffort & Bazzaz (1992)
<i>Betula papyrifera</i>	0.71				Rocheffort & Bazzaz (1992)
<i>Betula pendula</i>	0.86	1.13			Pettersson & McDonald (1992)
<i>Betula populifolia</i>	0.69				Rocheffort & Bazzaz (1992)
<i>Betula populifolia</i>		1.18			Miao et al. (1992)
<i>Brassica oleracea</i>	0.43	1.73			Sage, Sharkey & Seemann (1989)
<i>Calluna vulgaris</i>	0.60				Woodin et al. (1992)
<i>Chenopodium album</i>	0.69	1.73			Sage et al. (1989)
<i>Eucalyptus camaldulensis</i>	0.74	1.45	1.30	0.79	Wong, Kriedemann & Farquhar (1992)
<i>Eucalyptus cypellocarpa</i>	0.75	1.45	1.30	0.83	Wong et al. (1992)
<i>Eucalyptus grandis</i>		1.76			Conroy, Milham & Barlow (1992)
<i>Eucalyptus pauciflora</i>	0.79	1.35	0.95	0.81	Wong et al. (1992)
<i>Eucalyptus pulverulenta</i>	0.83	1.36	1.22	0.89	Wong et al. (1992)
<i>Gliricidia sepium</i>	0.68	1.41	1.38	0.85	Thomas et al. 1991
<i>Glycine max</i>	0.79*	1.24			Campbell, Allen & Bowes (1988)
<i>Glycine max</i>		1.31			Vu, Allen & Bowes (1989)
<i>Gossypium hirsutum</i>	0.48	1.79			Wong (1990)
<i>Gossypium hirsutum</i>		2.29			Delucia, Sasek & Strain (1985)
<i>Liriodendron tulipifera</i>	0.68	1.15			Norby et al. (1992)
<i>Lolium perenne</i>	0.64	1.13	1.0	0.96	Ryle, Powell & Tewson (1992b)
<i>Opuntia ficus-indica</i>		1.14**			Luo & Nobel (1993)
<i>Phaseolus vulgaris</i>		1.04			Radoglou, Aphalo & Jarvis (1992)
<i>Phaseolus vulgaris</i>	0.57	1.23			Sage et al. (1989)
<i>Picea glauca</i>		1.19			Brown & Higginbotham (1986)
<i>Populus tremuloides</i>		1.32			Brown & Higginbotham (1986)
<i>Quercus alba</i>	0.81				Norby, O'Neill & Luxmoore (1986)
<i>Raphanus sativas</i> × <i>raphanistrum</i>	0.77	1.52	1.72	0.82	Chu, Coleman & Mooney (1992)
<i>Salix</i> × <i>dasyclados</i>	0.83				Silvola & Ahlholm (1992)
<i>Scirpus olneyi</i>	0.90				Curtis, Drake & Whigham (1989)
<i>Solanum melongena</i>	0.88	1.53			Sage et al. (1989)
<i>Solanum tuberosum</i>	0.72	1.60			Sage et al. (1989)
<i>Spartina patens</i>	0.93				Curtis et al. (1989)
<i>Trifolium repens</i>	0.90	0.96	1.09	0.98	Ryle, Powell & Davidson (1992a)
<i>Triticum aestivum</i>	0.65	0.97	1.80	0.63	Hocking & Meyer (1991a)

\* Calculated from leaf soluble protein.

\*\* Cladode weight per unit of surface area.

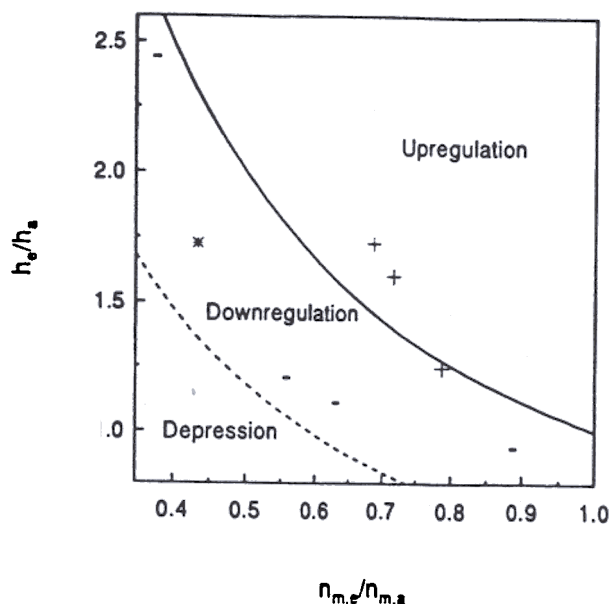
creased carbohydrate and decreased investment in Rubisco and other enzymes (Stitt 1991). Decreased nitrogen content in other parts is attributable to starch accumulation (Hocking & Meyer 1991b; Conroy 1992), changes in tissue chemical composition (Wong 1990; Wong *et al.* 1992), and shifts in plant size (Coleman, McConnaughay & Bazzaz 1993). Increases in nitrogen productivity are due to growth stimulation by higher carbohydrate availability.

**Photosynthetic response to elevated [CO<sub>2</sub>]<sub>a</sub>**

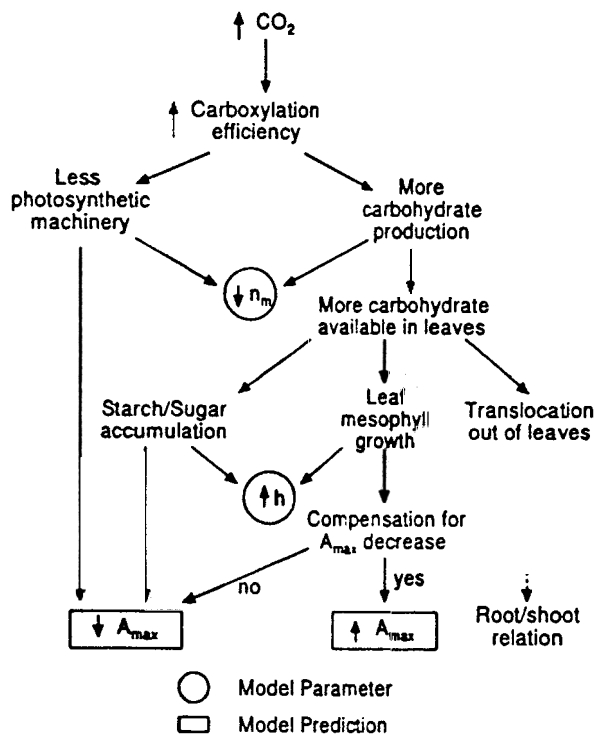
Based on relative changes in *h* and *n<sub>m</sub>* at elevated [CO<sub>2</sub>]<sub>a</sub>, we predicted zones of upregulated, downregulated and depressed photosynthesis (Fig. 1). Whenever  $(n_{m,e}/n_{m,a}) \times (h_e/h_a) > 1$ , leading to increased area-based leaf nitrogen concentration (*n<sub>a</sub>*), predicted photosynthesis is upregulated, i.e. photosynthetic capacity increases, and the rate of photosynthesis under growth conditions is much higher for plants grown in elevated than in ambient [CO<sub>2</sub>]<sub>a</sub>. If  $(n_{m,e}/n_{m,a}) \times (h_e/h_a) < 1$ , predicted photosynthesis may be either downregulated or depressed. It is downregulated when photosynthetic capacity decreases but photosynthesis measured under growth conditions is still greater for plants grown at elevated than at ambient [CO<sub>2</sub>]<sub>a</sub>. If *h* changes only a little but *n<sub>m</sub>* decreases considerably, photosynthetic capacity decreases markedly, and predicted photosynthetic rate at the growth [CO<sub>2</sub>]<sub>a</sub> is lower for plants grown at elevated than at ambient [CO<sub>2</sub>]<sub>a</sub>. We defined this case as depressed photosynthesis.

The model prediction is quite consistent with published data (Fig. 1). Two species, *Solanum tuberosum* and *Chenopodium album* (Sage *et al.* 1989), fell in the predicted zone of upregulated photosynthesis. Their measured photosynthetic capacity (*A<sub>max</sub>*) was higher for plants grown in elevated [CO<sub>2</sub>]<sub>a</sub> than for plants grown in ambient [CO<sub>2</sub>]<sub>a</sub>. For a third species predicted to be in the zone of downregulated photosynthesis, *Glycine max*, the measured photosynthesis was upgraded (Campbell *et al.* 1988). For the five species predicted to be in the zone of downregulated photosynthesis, the predictions are consistent with measurements for four species, *Gossypium hirsutum* (Delucia *et al.* 1985), *Lolium perenne* (Ryle, Powell & Tewson 1992b), *Phaseolus vulgaris* (Sage *et al.* 1989) and *Trifolium repens* (Ryle *et al.* 1992a, c). For the fifth species, *Brassica oleracea* (Sage *et al.* 1989), the measurement was in the zone of depressed photosynthesis (Sage *et al.* 1989). In this case, the Rubisco activation state decreased by 25% in elevated [CO<sub>2</sub>]<sub>a</sub>, contributing to depression of photosynthesis.

Growth at elevated [CO<sub>2</sub>]<sub>a</sub> leads to increased carboxylation efficiency, i.e. the carboxylation rate per unit of photosynthetic machinery. In response, investment in photosynthetic machinery may be reduced, leading to downregulated photosynthetic capacity (*A<sub>max</sub>*) (Fig. 2). If growth at elevated [CO<sub>2</sub>]<sub>a</sub> leads to more carbohydrate production, the availability of carbohydrate is increased. The additional carbohydrate has three possible fates. It could be stored as starch and/or soluble sugars in leaves, used for



**Figure 1.** Three response zones of upregulated, downregulated and depressed photosynthesis predicted from relative changes in specific leaf nitrogen concentration ( $n_{m,e}/n_{m,a}$ ) and leaf mass per unit area ( $h_e/h_a$ ) in elevated [CO<sub>2</sub>]<sub>a</sub>. Symbols represent observed responses of photosynthesis from data in the literature: + for upregulation; - for downregulation; and \* for depression. For *Gossypium hirsutum* (Delucia *et al.* 1985),  $n_{m,e}/n_{m,a}$  was calculated from changes in chlorophyll content.



**Figure 2.** Proposed mechanisms for the responses of photosynthesis to elevated [CO<sub>2</sub>]<sub>a</sub>. Photosynthetic capacity (*A<sub>max</sub>*) increases in elevated [CO<sub>2</sub>]<sub>a</sub> only when the additional leaf mesophyll growth more than compensates the effect of nitrogen dilution on *A<sub>max</sub>*.

leaf structural growth, or translocated out of the leaves. If the additional carbohydrate is stored as starch and/or soluble sugars in leaves, the photosynthetic capacity ( $A_{max}$ ) can be downregulated through nitrogen dilution and feedback inhibition by end-products (Stitt 1991). If the additional carbohydrate is used for leaf mesophyll growth, the nitrogen dilution may be partially or completely compensated by extra layers of mesophyll cells (Vu *et al.* 1989). If the compensation is partial, photosynthetic capacity ( $A_{max}$ ) is still downregulated. When the compensation is more than complete, photosynthetic capacity ( $A_{max}$ ) should be upregulated. If all of the additional carbohydrate is translocated out of leaves, leaf properties may hardly change. Both reduced photosynthetic machinery and increased carbohydrate production lead to decreased  $n_m$ , whereas increased starch/sugar accumulation and mesophyll growth lead to increased  $h$  (Fig. 2).

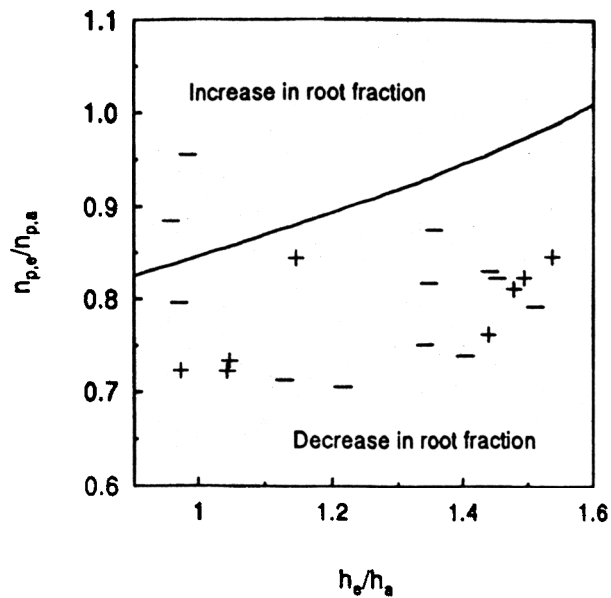
### Responses of root fraction to elevated $[\text{CO}_2]_a$

The prediction based on the functional balance concept (Eqn 5) suggests that  $\eta_R$  should increase with increasing  $A_d$  and decrease with decreasing  $n_p$ , increasing  $h$ , and increasing  $N_u$ . The nitrogen uptake rate per unit of root dry mass ( $N_u$ ) did not appear to change in *Raphanus sativas*  $\times$  *raphanistrum* (Chu *et al.* 1992), *Abutilon theophrasti* or *Amaranthus retroflexus* (Coleman & Bazzaz 1992) but increased for the nitrogen-fixing species *Trifolium repens* (Ryle *et al.* 1992a). With the assumption that  $N_u$  does not change with growth at different  $[\text{CO}_2]_a$ , changes in  $\eta_R$  can be predicted from only  $n_p$  and  $h$ .

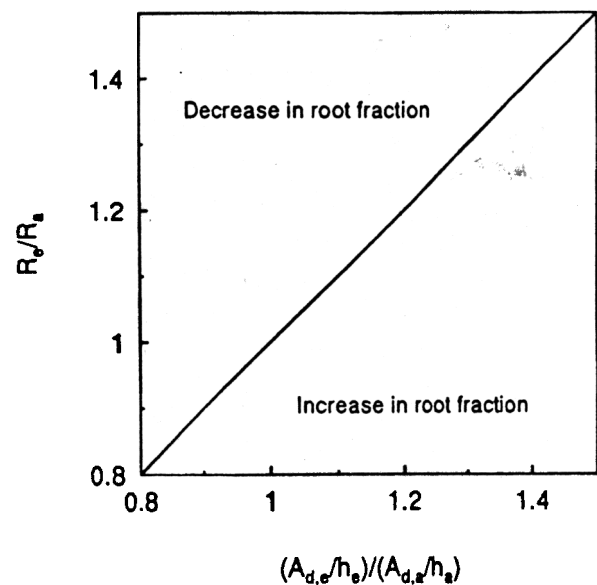
The model predicts that  $\eta_R$  increases when neither  $n_p$  nor  $h$  is very responsive to elevated  $[\text{CO}_2]_a$  (Fig. 3). The data suggest that essentially the opposite is the case. The root fraction ( $\eta_R$ ) actually increased only when the  $[\text{CO}_2]_a$  response of  $n_p$  was large (Fig. 3).

The model based on the balance between photosynthesis and growth (Eqn 8) suggests that  $\eta_R$  should increase whenever growth at elevated  $[\text{CO}_2]_a$  leads to an increase in  $A_d/h$  that is greater than the increase in  $R$  (Fig. 4). In cases where  $A_d/h$  increases more than  $R$  in elevated  $[\text{CO}_2]_a$ , increased  $\eta_R$  is effectively the mechanism that returns photosynthesis and growth (Eqn 8) or photosynthesis and nitrogen productivity (Eqn 9) to balance. Insensitivity of  $R$  could be a consequence of genetically determined low growth potential, nutrient limitation (Chapin 1980, 1991), or some combination of the two.

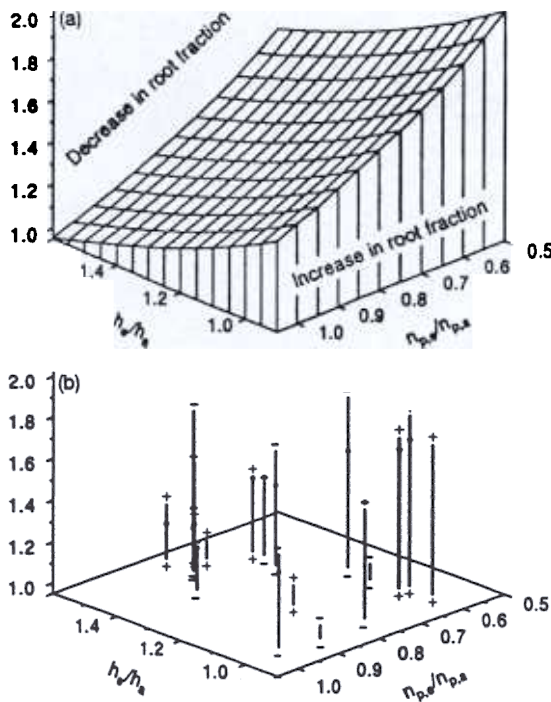
The photosynthesis:growth formulation in Eqn 9 predicts that  $\eta_R$  should increase when elevated  $[\text{CO}_2]_a$  leads to a large decrease in  $n_p$  and little increase in  $h$  and  $\alpha$  (Fig. 5a). This formulation leads to a much better fit to observations than does the formulation based on functional balance, explaining 13 of 19 cases (Fig. 5b). Of the six cases where the prediction is qualitatively incorrect, two observed data differ from the predictions by less than 10% and another two by less than 20%. The two species with much smaller  $\eta_{R,e}/\eta_{R,a}$  than predicted are *Lolium perenne*, which distributed much less nitrogen to leaves in elevated



**Figure 3.** Predicted zones of increased and decreased root fraction in elevated  $[\text{CO}_2]_a$ , based on the regulatory paradigm of functional balance between roots and shoots. The root fraction should increase when growth at elevated  $[\text{CO}_2]_a$  leads to changes in plant nitrogen concentration ( $n_{p,e}/n_{p,a}$ ) and leaf mass per unit area ( $h_e/h_a$ ) being above the line. Symbols represent data from the literature: + for increased root fraction in elevated  $[\text{CO}_2]_a$  and - for decreased root fraction.



**Figure 4.** Predicted zones of increased and decreased root fraction for plants grown in elevated  $[\text{CO}_2]_a$ , based on the regulatory paradigm of photosynthesis:growth balance. The root fraction should increase when growth at elevated  $[\text{CO}_2]_a$  leads to responses of photosynthesis per unit shoot mass  $\{(A_{d,e}/h_e)/(A_{d,a}/h_a)\}$  that are larger than changes in relative growth rate ( $R_e/R_a$ ).



**Figure 5.** The responses of root fraction to elevated  $[\text{CO}_2]_a$  as determined from the responses of plant nitrogen concentration ( $n_{p,e}/n_{p,a}$ ), leaf mass per unit area ( $h_e/h_a$ ), and nitrogen productivity ( $\alpha_e/\alpha_a$ ). (a) The predicted zero-response surface of the root fraction to elevated  $[\text{CO}_2]_a$ , where photosynthesis and growth are balanced without any changes in root fraction. The root fraction should decrease when growth at elevated  $[\text{CO}_2]_a$  leads to changes in  $n_{p,e}/n_{p,a}$ ,  $h_e/h_a$  and  $\alpha_e/\alpha_a$  such that these parameters fall above the surface. (b) Observed data from the literature. Dots in the data lines indicate the cross-points with the zero-response surface.

$[\text{CO}_2]_a$  (Ryle *et al.* 1992b), and *Trifolium repens*, a nitrogen-fixing species that responded to elevated  $[\text{CO}_2]_a$  with large increases in nitrogen uptake per unit root mass (Ryle *et al.* 1992a).

Plant responses to elevated  $[\text{CO}_2]_a$  tend to maintain a balance between photosynthesis and growth, but species differ in the distribution of the adjustments among various components, including  $\eta_R$ . In *Brassica oleracea*, photosynthetic capacity in elevated  $[\text{CO}_2]_a$  (Sage *et al.* 1989) was depressed to such an extent that photosynthesis:growth balance could be maintained without adjustments in biomass allocation. In *Triticum aestivum* (Hocking & Meyer 1991a), a 55% increase in carbon assimilation in elevated  $[\text{CO}_2]_a$  was compensated by a 37% decrease in  $n_p$ , a 22% increase in  $h$ , and an 85% increase in  $\alpha$  with virtually no change in  $\eta_R$ . For *Abutilon theophrasti*,  $h$  and  $n_p$  changed little in elevated  $[\text{CO}_2]_a$  but  $\alpha$  increased by 48% (Coleman & Bazzaz 1992). In this case, enhanced shoot function in elevated  $[\text{CO}_2]_a$  was more than compensated by increased  $\alpha$  (or decreased nitrogen demand) and  $\eta_R$  decreased to balance photosynthesis and growth. In contrast, for *Eucalyptus cytellocarpa*

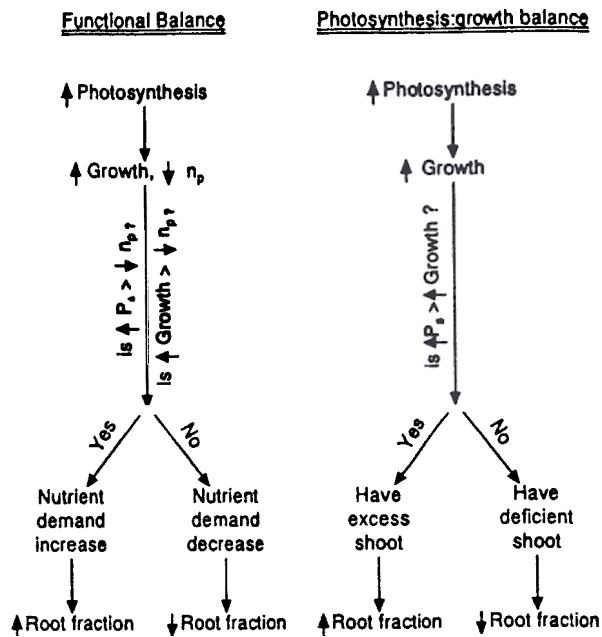
with a  $1.2 \text{ mol m}^{-3} \text{ NO}_3$  nitrogen treatment,  $h$  increased by 48% and  $n_p$  decreased by 23% in elevated  $[\text{CO}_2]_a$ , but  $\alpha$  increased by only 11% (Wong *et al.* 1992). Although enhanced shoot function in elevated  $[\text{CO}_2]_a$  was partially diminished by increased  $h$ , total nitrogen demand still increased, leading to increased  $\eta_R$ . For the nitrogen-fixing species *Trifolium repens*, none of  $n_p$ ,  $h$ , and  $\alpha$  changed much in elevated  $[\text{CO}_2]_a$  (Ryle *et al.* 1992a), and enhanced shoot function was balanced by increased nitrogen uptake per unit of root mass. For *Lolium perenne*, much less nitrogen was distributed to leaves in elevated  $[\text{CO}_2]_a$  (Ryle *et al.* 1992b), reducing the leaf function more than the functions of other parts of the plant.

### Conceptual basis of the two root fraction models

The two models characterize two fundamentally different concepts concerning factors that might control the response of root fraction to elevated  $[\text{CO}_2]_a$ . The photosynthesis: growth model builds from the postulate that photosynthesis and growth are mechanistically linked, whereas the functional balance model is based on a linkage between allocation and physiological activity. The better fit of the observations to the photosynthesis: growth model does not invalidate the concept of functional balance, but it does highlight the importance of including relative growth rate in the assessment of plant responses to elevated  $[\text{CO}_2]_a$ .

The two formulations lead to both similar and contrasting predictions. Both predict that  $\eta_R$  should increase in response to increases in  $A_d/h$ . The two formulations, however, predict opposite effects of decreased  $n_p$  on  $\eta_R$ . The functional balance formulation (Eqn 5) predicts that decreased  $n_p$  should lead to decreased  $\eta_R$ , whereas the formulation based on photosynthesis: growth balance (Eqn 9) predicts the opposite. With the functional balance concept, whole-plant nitrogen demand is reduced when  $n_p$  decreases. As a consequence, the root fraction ( $\eta_R$ ) should decrease to meet the reduced demand for nitrogen. In the photosynthesis: growth model, however, decreases in  $n_p$  lead to decreased  $R$  (Eqn 7) and increased  $\eta_R$  (Eqn 9) as long as  $\alpha$  is fixed. However,  $\alpha$  is not fixed in nature. Elevated  $[\text{CO}_2]_a$  almost always leads to increased  $\alpha$  and decreased  $n_p$  (Table 1). Without any change in growth, decreases in  $n_p$  caused by increased availability of carbohydrate should be completely compensated by increases in  $\alpha$ . Growth stimulation in elevated  $[\text{CO}_2]_a$  should appear as overcompensation, leading to an increase in the product  $n_p \times \alpha$ . One primary difference between the formulations based on photosynthesis: growth and functional balance is that only the former incorporates the interactive influences of  $n_p$  and  $\alpha$  on  $\eta_R$ .

The two formulations are based on fundamentally different conceptual models. The photosynthesis: growth balance model represents a combination of two potentially independent approaches to calculating  $R$ , whereas the functional balance model is built on a single hypothesis that links function and allocation. The photosynthesis: growth model essentially postulates that  $R$  can be set by



**Figure 6.** Schematic diagram illustrating the two kinds of regulatory paradigms that could lead to varying responses of root fraction to elevated  $[\text{CO}_2]_a$ .

either photosynthesis or nitrogen content and nitrogen productivity. In this model, any mismatch between the two is corrected by changes in shoot and root fractions. When elevated  $[\text{CO}_2]_a$  leads to a growth increase that is smaller than the increase in photosynthesis, then photosynthate is produced in excess of the needs for growth and root fraction increases (Fig. 6).

With the functional balance model, the critical relationship is not between photosynthesis and growth but between the supply of photosynthate and the supply of nitrogen. When an increase in photosynthate supply in elevated  $[\text{CO}_2]_a$  is identical in magnitude to the proportional decrease in nitrogen concentration, then nitrogen supply matches photosynthate supply, and the root fraction is stable (Fig. 6). The root fraction should increase whenever the  $[\text{CO}_2]_a$  response of photosynthesis is larger than the response of nitrogen concentration, implying increased nitrogen demands. The poor correspondence between the prediction based on functional balance and the data could be a result of several factors. Firstly, changes in the ratio of photosynthesis to growth, which may result from changes in respiration, exudation or tissue turnover, could alter the link between photosynthesis and shoot activity. Secondly, deviation from a linear relationship between  $A_d$  and  $n_p$  could alter the predictions. Thirdly, changes in nitrogen uptake per unit of root mass, assumed to be negligible in this analysis, are potentially important.

Overall, the better fit of the observations to predictions based on photosynthesis: growth balance than to predictions based on functional balance implies that the former is

more important in regulating biomass allocation under elevated  $[\text{CO}_2]_a$ . This evidence argues for increased focus in carbon partitioning studies on a paradigm based on relative responses of growth and photosynthesis to environmental forcing.

In summary, carbon and nitrogen relationships are altered at elevated  $[\text{CO}_2]_a$  so that carbohydrate becomes relatively abundant and nitrogen becomes relatively scarce. As a result, specific leaf ( $n_m$ ) and plant ( $n_p$ ) nitrogen concentrations decreased in all the studies we examined. Leaf mass per unit area ( $h$ ) and plant nitrogen productivity ( $\alpha$ ) increased in most studies. The responses of photosynthesis to elevated  $[\text{CO}_2]_a$  were predicted well by the relative changes in  $n_m$  and  $h$ . When elevated  $[\text{CO}_2]_a$  leads to increases in  $h$  that are larger than the decreases in  $n_m$ , such that nitrogen concentration per unit of leaf area increases, predicted photosynthesis is upregulated. Otherwise, predicted photosynthesis is downregulated or, if the decrease in  $n_m$  is much larger than the increase in  $h$ , depressed. The predicted root fraction increases whenever photosynthesis per unit of leaf mass ( $A_d/h$ ) increases more than  $R$  in elevated  $[\text{CO}_2]_a$ . Otherwise, the predicted root fraction decreases.

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## APPENDIX 1: THE PHOTOSYNTHESIS MODEL AND PARAMETER VALUES

### The model

$$A = \min \{A_1, A_2, A_3\} \quad (\text{A1})$$

$$A_1 = V_{\text{cmax}} \frac{C_i - \Gamma}{C_i + k_c (1 + O/k_o)} - \rho \quad (\text{A2})$$

$$A_2 = J \frac{C_i - \Gamma}{4.5 C_i + 10.5 \Gamma} - \rho \quad (\text{A3})$$

$$J = \frac{J_{\text{max}} I}{I + 2.1 J_{\text{max}}} \quad (\text{A4})$$

$$A_3 = 3 \text{TPU} - \rho \quad (\text{A5})$$

$$V_{\text{cmax}} = k_1 n_a + k_2 \quad (\text{A6})$$

$$J_{\text{max}} = k_3 n_a + k_4 \quad (\text{A7})$$

$$\text{TPU} = k_5 n_a + k_6 \quad (\text{A8})$$

$$\rho = k_7 n_a + k_8 \quad (\text{A9})$$

$$C_i = C_a - A/g_{s, \text{co}_2} \quad (\text{A10})$$

$$g_{s, \text{co}_2} = k_9 - k_{10} C_a \quad (\text{A11})$$

### Parameter values

$$I = 1000 \mu\text{mol m}^{-2} \text{s}^{-1}$$

$$\Gamma = 31.0 \mu\text{mol mol}^{-1}$$

$$K = k_c (1 + O/k_o) = 827$$

$$k_1 = 35.7$$

$$k_2 = 12.4$$

$$k_3 = 92.55$$

$$k_4 = 13.85$$

$$k_5 = 5.13$$

$$k_6 = 0.63$$

$$k_7 = 0.775$$

$$k_8 = -0.238$$

$$k_9 = 0.324^*$$

$$k_{10} = 1.64 \times 10^{-4}$$

\* $k_9$  and  $k_{10}$  were derived from data for *Phaseolus vulgaris* measured at a temperature of 24–25 °C, a vapour pressure deficit in the leaf chamber of approximately 1.0 KPa, and an air circulation velocity of approximately 1 m s<sup>-1</sup> (Radoglou et al. 1992).

## APPENDIX 2. SYMBOLS, UNITS AND DEFINITIONS

Symbol	Unit	Definition
$A$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Photosynthetic rate
$A_1$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Photosynthetic rate with Rubisco limited
$A_2$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Photosynthetic rate with RuBP limited
$A_3$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Photosynthetic rate with phosphate limited
$A_d^*$	$\text{g m}^{-2} \text{d}^{-1}$	Daily net assimilation rate
$A_{\text{max}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Photosynthetic capacity
$C_a$	$\mu\text{mol mol}^{-1}$	Ambient CO <sub>2</sub> concentration
$C_i$	$\mu\text{mol mol}^{-1}$	Intercellular CO <sub>2</sub> concentration
$[\text{CO}_2]_a$	$\mu\text{mol mol}^{-1}$	Atmospheric CO <sub>2</sub> concentration
$g_{s, \text{co}_2}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Stomatal conductance
$h$	$\text{g m}^{-2}$	Leaf mass per unit area
$I$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Instantaneous photon flux density
$I_0$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Maximum photon flux density
$J$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Rate of electron transport
$J_{\text{max}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Light-saturated rate of electron transport
$k_1$ – $k_{10}$		Coefficients
$k_c$	$\mu\text{mol mol}^{-1}$	Michaelis–Menton constants for CO <sub>2</sub>
$k_o$	$\mu\text{mol mol}^{-1}$	Michaelis–Menton constants for oxygen
$n_a$	$\text{g m}^{-2}$	Area-based leaf nitrogen concentration
$n_m$	$\text{g g}^{-1}$	Mass-based leaf nitrogen concentration
$n_p$	$\text{g g}^{-1}$	Plant nitrogen concentration
$N_u$	$\text{g g}^{-1} \text{d}^{-1}$	Nutrient uptake rate
$O$	$\text{mol mol}^{-1}$	Partial pressure of oxygen
$R^*$	$\text{g g}^{-1} \text{d}^{-1}$	Relative growth rate
$t$	h	Time
$\text{TPU}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Phosphate release rate in triose phosphate utilization
$V_{\text{cmax}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Maximum RuBP carboxylase activity
$\alpha$	$\text{g g}^{-1} \text{d}^{-1}$	Plant nitrogen productivity
$\eta_L$	$\text{g g}^{-1}$	Leaf fraction
$\eta_R$	$\text{g g}^{-1}$	Root fraction
$\eta_S$	$\text{g g}^{-1}$	Shoot fraction
$\Gamma$	$\mu\text{mol mol}^{-1}$	CO <sub>2</sub> compensation point without dark respiration
$\rho$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	Dark respiration
$\sigma_R$	$\text{g g}^{-1} \text{d}^{-1}$	Root activity
$\sigma_S$	$\text{g g}^{-1} \text{d}^{-1}$	Shoot activity

\*Subscripts a and e on these variables in the text refer to plants grown at ambient (350  $\mu\text{mol mol}^{-1}$ ) and elevated (700  $\mu\text{mol mol}^{-1}$ ) [CO<sub>2</sub>]<sub>a</sub>, respectively.