



# Edaphic and microbial determinants of the residence times of active and slow C pools on the Tibetan Plateau

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## ABSTRACT

One major source of uncertainties in the estimation of soil organic carbon (SOC) dynamics is carbon (C) residence time, an important parameter in terrestrial ecosystem C cycling models. To better predict terrestrial dynamics, C residence time and its controlling factors need to be well quantified and investigated. In this study, we applied a data assimilation approach to quantify the residence times of different soil C pools on the Tibetan Plateau, based on incubated soil carbon dioxide (CO<sub>2</sub>) efflux data. We also assessed the effects of soil properties on C residence times. Our results showed that the residence times of active (RT<sub>A</sub>) and slow (RT<sub>S</sub>) C pools were well estimated through data assimilation. Soil physical, chemical, and microbial properties significantly regulated RT<sub>A</sub> and RT<sub>S</sub>. The RT<sub>A</sub> was higher in soils with high clay contents ( $R^2 = 0.52, p < 0.01$ ) and low microbial quotients (qMB) ( $R^2 = 0.55, p < 0.01$ ), whereas, the RT<sub>S</sub> was higher in soils with high clay contents ( $R^2 = 0.76, p < 0.01$ ), high C:N ratios ( $R^2 = 0.44, p < 0.05$ ), low qMB values ( $R^2 = 0.50, p < 0.05$ ), and low soil pH values ( $R^2 = 0.80, p < 0.01$ ). Structural equation modeling (SEM) analyses indicated that the model could explain 55% and 91% of the variations in the RT<sub>A</sub> and RT<sub>S</sub>, respectively. The qMB was the key factor in regulating the RT<sub>A</sub>, while soil pH was the crucial variable in controlling the RT<sub>S</sub>. These results demonstrated that the major controlling factors on the RT<sub>A</sub> and RT<sub>S</sub> were different. Considering these variables and their different controls on residence times of different soil C pools could provide more accurate estimation of terrestrial C cycles, and prediction of future C-climate feedbacks.

## 1. Introduction

Soil organic carbon (SOC) is a critical component of the terrestrial ecosystem carbon (C) cycle (Todd-Brown et al., 2013). SOC dynamics involve the release of CO<sub>2</sub> into the atmosphere and the sequestration of CO<sub>2</sub> from the atmosphere which are associated with the amplification or mitigation of climate change (He et al., 2016). The accurate representation of SOC dynamics is essential for explicitly predicting terrestrial ecosystem C cycle and future C cycling feedbacks to climate change (Luo et al., 2016; Todd-Brown et al., 2013, 2014). However, based on the estimation of earth system models (ESMs), there are considerable uncertainties in the prediction of SOC dynamics (Todd-Brown et al., 2013, 2014). For instance, Todd-Brown et al. (2014) estimated changes in global SOC storage over the 21st century which ranged from losses of 72 Pg C to gains of 253 Pg C. Such significant uncertainties are mostly due to indeterminate C residence times (Luo

et al., 2016; Todd-Brown et al., 2013). The C residence time is a key indicator for ecosystem functionality and an important parameter in ESMs for determining C storage capacities (Chen et al., 2015). Therefore, the quantification of uncertainties in C residence times is a prerequisite for assessing terrestrial C cycles and predicting future C-climate feedbacks (Anav et al., 2013; Wang et al., 2018).

Soil organic C is heterogeneous and consists of a complex of myriad materials with different residence times, which can range from minutes to millennia (Trumbore, 1997). In the most conventional models, SOC is typically categorized as having at least three C pools (active, slow, and passive) according to its residence time (Parton et al., 1987; Xu et al., 2006). Several methods have been applied for the determination of C residence times, such as C stable isotopes (Derrien and Amelung, 2011), radiocarbon dating (He et al., 2016), and the C balance method (Wang et al., 2018). However, these methods have their limitations. For example, the C stable isotope method may only be applied to

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ecosystems in which dominant plant species are transformed between C<sub>3</sub> and C<sub>4</sub> plants (Derrien and Amelung, 2011). Therefore, uncertainties in residence times of different soil C pools have not been well quantified, which limits our understanding of terrestrial C dynamics and climate-C feedbacks.

The data assimilation approach can optimize parameter estimations by combining observed data with models (Luo et al., 2009). Recently, data assimilation has been employed to estimate the residence times of different C pools (Guo et al., 2017; Xu et al., 2006; Zhou et al., 2012). For instance, Zhang et al. (2010) used data assimilation to estimate the residence times of different C pools among three different forest ecosystems. Schädel et al. (2013) initially applied data assimilation approach to estimate the residence times of different C pools based on soil CO<sub>2</sub> efflux data from soil incubation studies. Data from soil incubation studies contain quantitative information on the residence times of different soil C pools, as there is generally no new input substrate during incubation (Schädel et al., 2014). Moreover, the water content and temperature of soil can be controlled in the incubation studies, and the effects of soil moisture and temperature on the residence times of different C pools can be isolated. This reduces the bias of the relationship between soil properties and the residence times of different C pools, thus, increasing the comparability among different study sites (Holland et al., 2000; Schädel et al., 2013; Schädel et al., 2014).

The Tibetan Plateau is often referred to as the “third pole”, due to its frigid temperature. The decomposition of SOC is very slow (i.e. the C residence time is long), which consequently results in the accumulation of large amounts of C in the soil (Yu et al., 2017). The Tibetan Plateau is also one of the most sensitive and vulnerable regions to global climate change, and experiences more rapid warming than other regions (Liu and Chen, 2000; Yu et al., 2017). Consequently, much research has focused on the SOC of the Tibetan Plateau, but these studies to date mostly focus on the spatial distribution and temporal dynamics of SOC storage and controlling factors (Ding et al., 2016a; Wang et al., 2002; Yang et al., 2008). Very few studies have involved soil C residence time (Yu et al., 2017; Zhao et al., 2015). Moreover, the residence times of different soil C pools and their controlling factors have not been reported in this region. In this study, we measured the physical, chemical and microbial properties of soils, and applied a data assimilation approach to quantify the residence times of different soil C pools, based on time series data from long term soil incubation studies. The aims of this investigation were to: (1) quantify the residence times of different soil C pools; (2) evaluate the relationships between soil C residence times and soil properties; and (3) identify the controlling factors for soil C residence times.

## 2. Materials and methods

### 2.1. Site description and sampling

The research area is located in the Tibetan Autonomous Prefecture of Haibei in Qinghai Province, with a typical continental alpine climate. Soils were collected at four sites, including two alpine steppes (AS-E and AS-S), one alpine meadow (AM), and one alpine swamp meadow (ASM), were sampled in August 2016. The soils were classified as Cambisols at the AS-E, AS-S and AM sites, and Gleysols at the ASM site (Baumann et al., 2009; Chen et al., 2016a; Yang et al., 2015) according to the Food and Agriculture Organization classification scheme (FAO, 2006). The details of the four sites are described in Table 1. Four 50 × 50 m<sup>2</sup> replicate plots, approximately 50 m apart, were randomly selected at each sampling site. In each plot, soil samples were collected from five sampling points at depth of 0–10, 10–20, and 20–30 cm, which were subsequently mixed as single samples to represent each depth. The soil samples were immediately sieved to < 2 mm to remove any roots and stones following collection and transported to the laboratory in an icebox. One portion of the sieved soil sample was stored at 4 °C for microbial biomass measurements and laboratory incubation,

whereas the other portion was air-dried for physical and chemical analyses.

### 2.2. Soil analysis

Soil water content (SWC) was measured by oven drying the samples at 105 °C. Soil bulk density (BD) was measured using a known volume ring (100 cm<sup>3</sup>) method. Soil texture was determined using a Mastersizer 2000 laser particle size analyzer (Malvern, Worcestershire, UK). Soil pH was determined in a 1:2.5 (w/v) soil/water ratio with a pH meter (PHS-3C, INESA, Shanghai, China). Soil organic carbon (SOC) was determined via a potassium dichromate oxidation method (Nelson and Sommers, 1996). Soil total nitrogen (TN) concentration was quantified using the Kjeldahl method (Bremner, 1996).

The light fraction of soil organic carbon (LF-OC) was extracted using a 1.7 g ml<sup>-1</sup> NaI solution (Gregorich and Beare, 2008). Briefly, 10 g of the air-dried soil sample was introduced into a centrifuge tube with a 20 ml NaI solution. After agitating for 60 min and standing for 48 h, the supernatant was filtered using 0.45 μm filter. The light fraction was rinsed with 0.01 M CaCl<sub>2</sub> and distilled water, and then dried at 60 °C for 48 h to obtain the final weight. The light fraction was then ground to pass through 0.25 mm sieve, after which the concentration of C was determined. The LF-OC was calculated as the C concentration in the light fraction multiplied by the total mass of light fraction.

Soil C mineralization was determined by the alkaline absorption method using laboratory incubation (Alef, 1995). Fresh soil samples (60 g) were modified to 60% water holding capacity, and incubated in 1 L mason jars at 25 °C. The CO<sub>2</sub> released from soil was trapped in 10 ml 0.5 M NaOH within mason jars, and measured by titration with 0.1 M HCl at 4, 8, 12, 16, 20, 27, 34, 41, 48, 55, 62, 69, 76, 90, 104, 118, 132, 162, 192, 222, 252, 282, 312, 342 and 372 days. Simultaneously, four mason jars containing NaOH vials without soil samples were used as controls.

Soil microbial biomass C and N (SMBC and SMBN) were determined through a fumigation-extraction method (Brookes et al., 1985; Vance et al., 1987). The fumigated and non-fumigated soil samples were extracted using 0.5 M K<sub>2</sub>SO<sub>4</sub> (1:4 (w/v) soil: extractant). The organic C and N in the extracts were measured using a Vario TOC analyzer (Elementar, Langensfeld, Germany). The SMBC and SMBN were calculated as the differences in the C and N content between in the fumigated and non-fumigated soil samples, using the conversion factors of 0.45 and 0.54, respectively. The microbial quotient (qMB) was calculated as the ratio of SMBC and SOC (SMBC/SOC, %). The microbial metabolic quotient (qCO<sub>2</sub>) was calculated as the ratio of basal respiration and SMBC (mg CO<sub>2</sub>-C g<sup>-1</sup> SMBC day<sup>-1</sup>).

### 2.3. Model description

Soil organic C is comprised of continuous materials with different turnover rates, ranging from days to millennia, which is typically represented by models with multiple C pools (Trumbore, 1997). A three-pool C decomposition model (Guo et al., 2017), consisting of active, slow and passive pools was employed for this study (Eq. (1)).

$$R_t = \sum_{i=1}^3 A_i C (1 - e^{-k_i t}) \quad (1)$$

where  $R_t$  is the cumulative CO<sub>2</sub>-C efflux after time  $t$  (mg C g<sup>-1</sup> soil).  $A_i$  ( $i = 1, 2, 3$ ) describes the initial partitioning coefficient of active, slow and passive SOC (%), respectively, and  $A_1 + A_2 + A_3 = 1$ .  $C$  is the initial SOC content (mg C g<sup>-1</sup> soil).  $K_i$  denotes the decomposition rates of  $i$ th C pool. The parameters  $A_i$  and  $K_i$  were the targets to be determined. The inverses of the parameter  $K_i$  are the C residence times of different soil C pools.

**Table 1**  
The four study site characteristics description.

Site	Ecosystem types	Latitude (N)	Longitude (E)	Altitude (m)	MAP (mm)	MAT (°C)	Dominate species	Coverage (%)	Biomass (g m <sup>-2</sup> )
AS-E	Alpine steppe	37°37'	101°19'	3200	561	-1.7	<i>Elymus nutans</i> Griseb.	90 a	422.81 c
AS-S	Alpine steppe	37°57'	101°51'	3133	405	0.94	<i>Stipa capillata</i> Linn.	86 a	349.12 c
AM	Alpine meadow	37°44'	101°05'	3748	420	-3.3	<i>Kobresia humilis</i>	93 a	524.91 b
ASM	Alpine swamp meadow	36°42'	100°47'	3210	433	-0.7	<i>Kobresia tibetica</i>	89 a	781.72 a

Different letter means significant difference among different sites, values indicate mean.

2.4. Data assimilation

For this study, a Bayesian probabilistic inversion approach (Eq. (2)) was used to optimize the partitioning coefficient and decay rate of the C decomposition model (Xu et al., 2006).

$$p(\theta | Z) \propto p(Z | \theta)p(\theta) \tag{2}$$

where the posterior probability density function (PDF)  $p(\theta|Z)$  of parameters may be obtained from prior knowledge of the parameters represented by prior PDF  $p(\theta)$  and the information contained in the SOC mineralization data was represented by a likelihood function  $p(Z|\theta)$ . The prior PDF  $p(\theta)$  was specified by giving specific parameter ranges (Chen et al., 2016b; Guo et al., 2017; Xu et al., 2006; Xu et al., 2016) with uniform distribution (Table 2). The likelihood function  $p(Z|\theta)$  was calculated on the basis of the assumption that errors between the observed and modeled data were independently distributed.

$$p(Z | \theta) \propto \exp \left\{ -\frac{1}{2\sigma^2} \sum_{i \in \text{obs}(Z_i)} [Z_i(t) - X_i(t)]^2 \right\} \tag{3}$$

where  $Z_i(t)$  and  $X_i(t)$  are the observed and modeled cumulative SOC mineralized values, and  $\sigma^2$  is the standard deviation of cumulative SOC mineralized values.

The Metropolis-Hastings (M-H) algorithm was used to construct the posterior PDF of parameters, which is a Markov Chain Monte Carlo (MCMC) technique (Hastings, 1970; Metropolis et al., 1953). The M-H algorithm repeats two steps: a proposing step and a moving step (Xu et al., 2006). In the proposing step, a new parameter point  $\theta^{new}$  is generated on the basis of a previously accepted parameter point  $\theta^{old}$  with a proposal distribution  $p(\theta^{new}|\theta^{old})$ .

$$\theta^{new} = \theta^{old} + r(\theta_{max} - \theta_{min})/D \tag{4}$$

where  $\theta_{min}$  and  $\theta_{max}$  are the minimum and maximum of given parameter values in the prior range.  $r$  is a random variable between -0.5 and 0.5 with a uniform distribution, and  $D$  is set to 10 to control the proposing step size. In the moving step, the new parameter  $\theta_{new}$  is tested against the Metropolis criterion to examine if it should be accepted or rejected. The M-H algorithm was run 4 replicates and 100,000 times for each replicate to facilitate extraction of soil C incubation data.

**Table 2**  
Prior ranges of parameters for the C dynamics model.

Parameters	Description	Unit	Intervals
$A_1$	Partitioning coefficient of active C pool	%	0-9 <sup>a</sup>
$A_2$	Partitioning coefficient of slow C pool	%	30-80
$K_1$	Decay rates of active C pool	day <sup>-1</sup>	0-0.05
$K_2$	Decay rates of slow C pool	day <sup>-1</sup>	0-2e-3 <sup>a</sup>
$K_3$	Decay rates of passive C pool	day <sup>-1</sup>	0-9e-5

$A_i$ : partitioning coefficient of different C pool,  $K_i$ : decomposition rate of different C pool.

<sup>a</sup> In soil at AM site, the prior ranges of  $A_1$  was set to 0-5%, the prior ranges of  $K_2$  was set to 0-1e-3.

2.5. Data analysis

One-way analysis of variance (ANOVA) was employed to compare soil C mineralization and soil physical (SWC, BD, and clay content), chemical (pH, SOC, TN, C:N and LF-OC), and microbial (SMBC, SMBN, qMB, SMBC:SMBN and qCO<sub>2</sub>) properties between the four study sites at the same soil layer. The accepted parameters were used to simulate cumulative CO<sub>2</sub>-C release using Eq. (1). We conducted regression analysis to explore the relationships between modeled and observed cumulative CO<sub>2</sub>-C release. The coefficient of determination ( $R^2$ ) and root mean squared error (RMSE, Eq. (5)) were estimated to assess goodness of fit. Regression analysis was also performed to determine the relationships between the residence times of active and slow C pools, and soil physical, chemical and microbial properties, as well as the relationships between soil pH and microbial properties. We performed structure equation modeling (SEM) to determine the relative impacts of soil physical, chemical and microbial properties on the residence times for the different soil C pools. Variables that were significantly correlated with the residence times of different C pools were included in SEM analyses (Chen et al., 2016b; Ding et al., 2016b). The SEM analyses were performed using AMOS 21.0 (SPSS Inc., Chicago, IL, USA). All other data analyses were conducted with SPSS 17.0 for Windows (SPSS, Inc., Chicago, IL, USA).

$$RMSE = \sqrt{\frac{1}{n} \sum_{t=1}^n (Z_t - X_t)^2} \tag{5}$$

where  $n$  is the number of measurement points (i.e.  $n = 25$ ), and  $Z_t$  and  $X_t$  are the observed and modeled cumulative SOC mineralized values at  $t$  times.

3. Results

3.1. Soil properties

The SWC were generally higher at the AM and ASM sites than that at the AS-E and AS-S sites ( $p < 0.05$ , Table 3). The SWC was significantly different between the AS-E and AS-S sites in 0-10 cm soil layer, and between the AM and ASM site in 0-20 cm soil layers ( $p < 0.05$ ). Soil BD was higher at the AS-E and AS-S sites compared to that at the AM and ASM sites in all soil depths. Significant differences were found for soil BD between the AS-E and AS-S site in 10-30 cm soil layers, and between the AM and ASM site in 0-20 cm soil layers ( $p < 0.05$ ). Clay contents were the highest at the AM site (10.7%-11.79%), followed by that at the AS-E (8.82%-10.47%) and AS-S (7.01%-8.22%) sites, and the lowest at the ASM (4.42%-5.63%) sites.

The highest pH was found at the ASM (8.26-8.4) site, followed by that at the AS-E (7.95-8.08) and AS-S (7.88-8.11) sites, and the lowest at the AM (6.68-6.91) site (Table 3). SOC was the highest at the AM site and the lowest at the AS-S site. A similar trend was found for TN. The C:N ratio was higher at the AM site than that at the AS-E and AS-S sites ( $p < 0.05$ ), whereas no significant differences were observed among AS-E, AS-S and ASM sites. The LF-OC varied from 4.95 g kg<sup>-1</sup> soil to 63.93 g kg<sup>-1</sup> soil at four sites. Compared with AS-E and AS-S sites, LF-OC was higher at the AM and ASM site. Significant differences in the LF-OC were detected between AS-E and AS-S sites in 10-20 cm soil layer,

**Table 3**  
Soil physical, chemical and microbial properties for the experiment sites.

Depth (cm)	Site	SWC	BD	Clay	pH	SOC
0–10	AS-E	38.65 ± 4.89 c	0.94 ± 0.07 a	8.82 ± 0.44 b	7.95 ± 0.05 b	76.78 ± 4.82 b
	AS-S	23.05 ± 2.87 d	0.96 ± 0.02 a	8.05 ± 0.44 c	7.88 ± 0.06 b	52.77 ± 2.80 c
	AM	110.87 ± 7.94 a	0.51 ± 0.03 c	10.70 ± 0.53 a	6.91 ± 0.03 c	194.70 ± 14.49 a
10–20	ASM	73.71 ± 4.59 b	0.66 ± 0.07 b	5.04 ± 0.25 d	8.40 ± 0.07 a	73.25 ± 1.90 b
	AS-E	25.83 ± 2.20 c	1.16 ± 0.06 a	9.48 ± 0.70 b	8.02 ± 0.01 b	45.88 ± 1.85 b
	AS-S	20.16 ± 1.77 c	1.04 ± 0.04 b	8.22 ± 0.28 c	7.92 ± 0.09 c	43.36 ± 2.76 b
20–30	AM	102.56 ± 7.66 a	0.59 ± 0.02 d	11.44 ± 0.23 a	6.68 ± 0.03 d	138.14 ± 12.72 a
	ASM	83.24 ± 7.32 b	0.73 ± 0.05 c	4.42 ± 0.36 d	8.26 ± 0.04 a	49.95 ± 2.98 b
	AS-E	26.47 ± 0.86 b	1.16 ± 0.08 a	10.47 ± 0.28 b	8.08 ± 0.04 b	37.14 ± 2.96 bc
AM	AS-S	18.17 ± 1.51 b	1.06 ± 0.03 b	7.01 ± 0.17 c	8.11 ± 0.04 b	31.21 ± 1.20 c
	AM	89.47 ± 9.50 a	0.85 ± 0.03 c	11.79 ± 0.33 a	6.77 ± 0.04 c	95.84 ± 8.91 a
	ASM	81.01 ± 11.33 a	0.84 ± 0.03 c	5.63 ± 0.47 ± d	8.39 ± 0.03 a	42.05 ± 5.82 b

Depth (cm)	TN	C:N	LF-OC	SMBC	SMBN	qMB	SMBC:SMBN	qCO <sub>2</sub>
0–10	6.01 ± 0.51 b	12.79 ± 0.30 b	18.48 ± 2.18 c	683.82 ± 32.96 c	172.88 ± 7.39 a	0.89 ± 0.03 d	3.96 ± 0.10 d	86.41 ± 7.74 a
	4.26 ± 0.23 c	12.39 ± 0.47 b	19.23 ± 1.28 c	840.11 ± 62.29 c	118.57 ± 11.29 c	1.60 ± 0.17 b	7.10 ± 0.37 c	63.36 ± 7.28 b
	13.98 ± 1.33 a	13.96 ± 0.76 a	63.93 ± 4.81 a	2443.19 ± 247.68 a	140.34 ± 20.67 b	1.26 ± 0.11 c	17.52 ± 1.33 b	39.03 ± 4.07 c
10–20	5.64 ± 0.50 b	13.06 ± 0.99 ab	36.30 ± 6.99 b	1805.72 ± 150.79 b	87.70 ± 7.76 d	2.47 ± 0.23 a	20.64 ± 1.45 a	57.97 ± 5.26 b
	3.83 ± 0.23 c	11.74 ± 0.39 b	7.79 ± 0.51 d	366.79 ± 21.94 b	72.65 ± 9.17 b	0.82 ± 0.03 b	5.09 ± 0.54 b	63.09 ± 4.17 b
	3.61 ± 0.10 c	12.02 ± 0.58 b	13.53 ± 2.01 c	386.51 ± 39.41 b	99.37 ± 8.24 a	0.90 ± 0.14 b	3.91 ± 0.51 b	61.61 ± 9.26 b
20–30	9.79 ± 0.34 a	14.10 ± 0.89 a	34.52 ± 3.65 a	725.26 ± 65.87 a	71.70 ± 13.42 b	0.53 ± 0.10 c	10.38 ± 2.10 a	52.01 ± 7.73 b
	4.77 ± 0.41 b	10.57 ± 1.6 b	20.89 ± 1.54 b	796.17 ± 63.00 a	77.11 ± 7.47 b	1.60 ± 0.20 a	10.39 ± 1.20 a	79.51 ± 8.68 a
	3.19 ± 0.25 b	11.67 ± 0.73 b	5.07 ± 1.36 b	225.23 ± 28.75 c	53.97 ± 6.32 a	0.60 ± 0.06 bc	4.26 ± 1.08 b	68.24 ± 5.63 a
AM	2.73 ± 0.21 b	11.44 ± 0.50 b	4.95 ± 1.17 b	199.59 ± 38.64 c	54.01 ± 10.79 a	0.64 ± 0.13 b	3.73 ± 0.56 b	60.95 ± 15.58 a
	6.70 ± 1.08 a	14.51 ± 2.13 a	13.70 ± 3.83 a	365.38 ± 48.24 b	48.73 ± 6.70 a	0.39 ± 0.07 c	7.53 ± 0.68 a	64.76 ± 11.57 a
	3.33 ± 0.33 b	12.71 ± 2.01 ab	17.22 ± 4.33 a	475.23 ± 53.11 a	60.22 ± 6.08 a	1.15 ± 0.25 a	7.96 ± 1.30 a	80.88 ± 5.24 a

SWC, soil water content (%), BD, bulk density (g cm<sup>-3</sup>), SOC, soil organic carbon (g kg<sup>-1</sup> soil), TN, total nitrogen (g kg<sup>-1</sup> soil), C:N, SOC:TN, LF-OC (g kg<sup>-1</sup> soil), SOC content of light fraction, SMBC, soil microbial biomass carbon (mg kg<sup>-1</sup>), SMBN, soil microbial biomass nitrogen (mg kg<sup>-1</sup>), qMB, microbial metabolic quotient (%), qCO<sub>2</sub>, microbial metabolic quotient (mg CO<sub>2</sub>-C g<sup>-1</sup> SMBC day<sup>-1</sup>). Different letter means significant difference among different sites in the same soil depths, values indicate mean ± SD.

and between AM and ASM sites in 0–20 cm soil layers (Table 3).

In the 0–30 cm soil layers, higher SMBC were observed at the AM and ASM sites than those at the AS-E and AS-S sites (Table 3). There were no significant differences in SMBC between AS-E and AS-S in 0–30 cm soil layers, whereas significant differences in SMBC between AM and ASM were found in the 0–10 cm and 20–30 cm soil layers. In the 0–10 cm soil layer, SMBN significantly decreased in the order as follow: AS-E > AM > AS-S > ASM. The SMBN was significantly higher at the AS-S than that at the AS-E, AM and ASM sites in the 10–20 cm soil layer. There was no significant difference among the four study sites in the 20–30 cm soil layer. The highest qMB were observed at the ASM site, followed by that at the AS-E and AS-S sites, and the lowest at the ASM site except that in the 0–10 cm soil layer. The SMBC:SMBN were higher at the AM and ASM sites than those at the AS-E and AS-S sites in all soil depths, whereas significant differences in SMBC:SMBN were found between AS-E and AS-S sites, and between AM and ASM sites only in the 0–10 cm soil layer. The highest qCO<sub>2</sub> was observed at the AS-E site, followed by AS-S and ASM sites, and the

lowest at the AM site in the 0–10 cm soil layer. In the 10–20 cm soil layer, the qCO<sub>2</sub> was higher at the ASM than that at the other sites. No significant differences were found among the vegetation types in 20–30 cm soil layer (Table 3).

### 3.2. Soil C mineralization

The C mineralization rate patterns of the soil samples over time were similar across all vegetation types, which were initially high, but declined rapidly at the early stage and leveled off as the incubation processed (Fig. 1), indicating that the contribution of labile C pool dominated the C mineralization at the early stage, while the recalcitrant C pool dominated C mineralization at the later stage. Moreover, our inverse analysis results directly demonstrated that the active C pool contributed for 76%–79% to the C mineralization rate at the beginning of the incubation. The slow C pool dominated C mineralization after 104 days, 118 days and 62 days incubation at the AS-E and AS-S sites, at the AM site and at the ASM site, respectively (Table S1). Over the

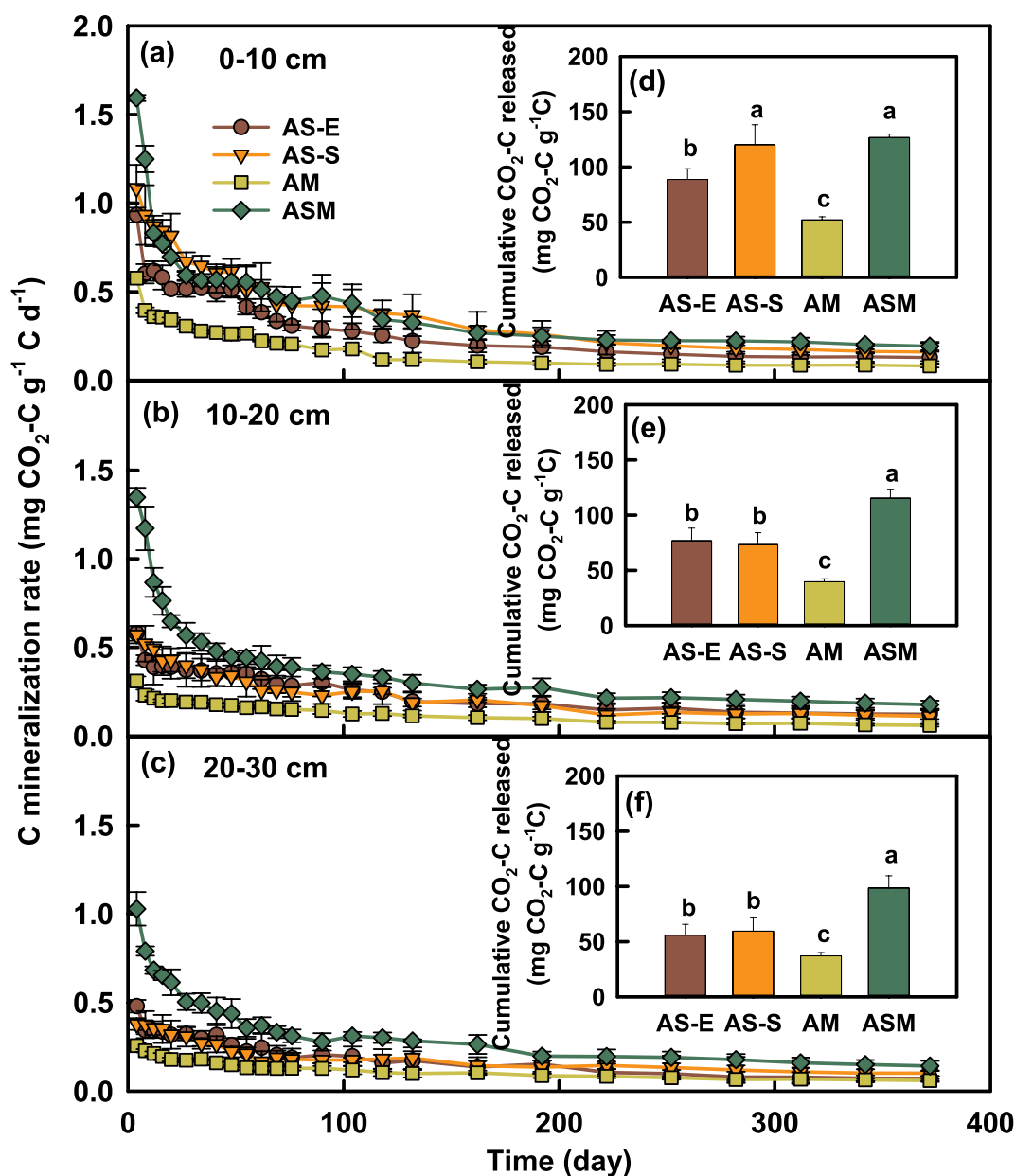


Fig. 1. Carbon mineralization rate (a–c) and cumulative CO<sub>2</sub>-C released (d–f) at three soil depths over the 372 days incubation experiment. Values are means ± SE, n = 4.

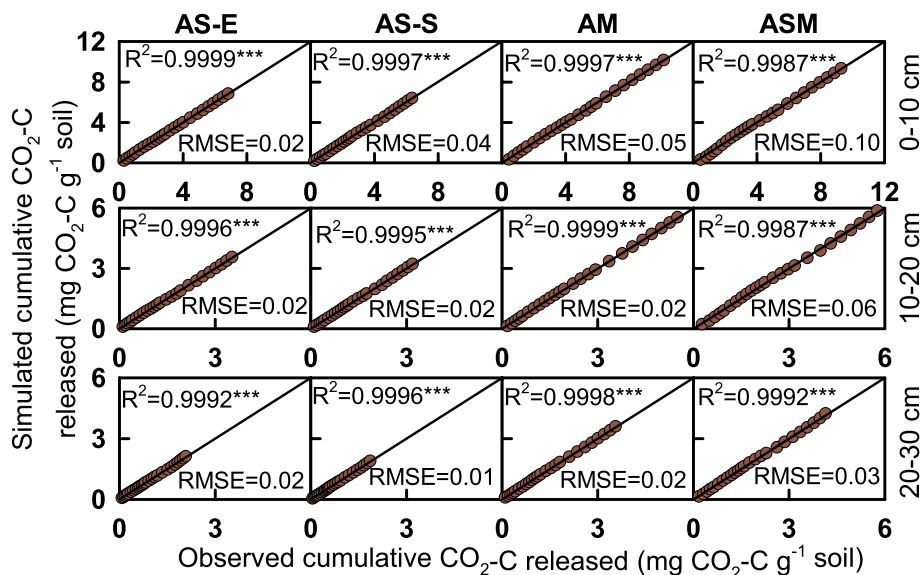


Fig. 2. Relationship between modeled and observed cumulative release of CO<sub>2</sub>-C. RMSE, root mean squared error. \**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.001.

372 days incubation experiment, the cumulative CO<sub>2</sub>-C release ranged from 40.80 to 126.74 mg CO<sub>2</sub>-C g<sup>-1</sup> SOC. The highest cumulative CO<sub>2</sub>-C release was observed from ASM (126.74 mg CO<sub>2</sub>-C g<sup>-1</sup> SOC) and AS-S (120.28 mg CO<sub>2</sub>-C g<sup>-1</sup> SOC), while the lowest value was recorded at the AM site (52.15 mg CO<sub>2</sub>-C g<sup>-1</sup> SOC) in the 0–10 cm soil layer (*p* < 0.05, Fig. 1d). The quantity of cumulatively released CO<sub>2</sub>-C diminished significantly (*p* < 0.05) in the order: ASM > AS-E and AS-S > AM in the 10–30 cm soil layers (Fig. 1e and f).

### 3.3. Data assimilation of soil C dynamics

The observed and simulated cumulatively released CO<sub>2</sub>-C fitted well (*R*<sup>2</sup> > 0.99, *p* < 0.001, RMSE < 0.10, Fig. 2), which indicated that the three pool C decomposition model performed well. According to the results of the data assimilation, the partitioning rate of the active C pool (*A*<sub>1</sub>), and the decay rate of the active (*K*<sub>1</sub>) and slow (*K*<sub>2</sub>) C pools were nearly Gaussian distributed, suggesting that the *A*<sub>1</sub>, *K*<sub>1</sub> and *K*<sub>2</sub> parameters were well constrained (Fig. 3). However, the partitioning rate of the slow C pool (*A*<sub>2</sub>) and decay rate of the passive C pool (*K*<sub>3</sub>)

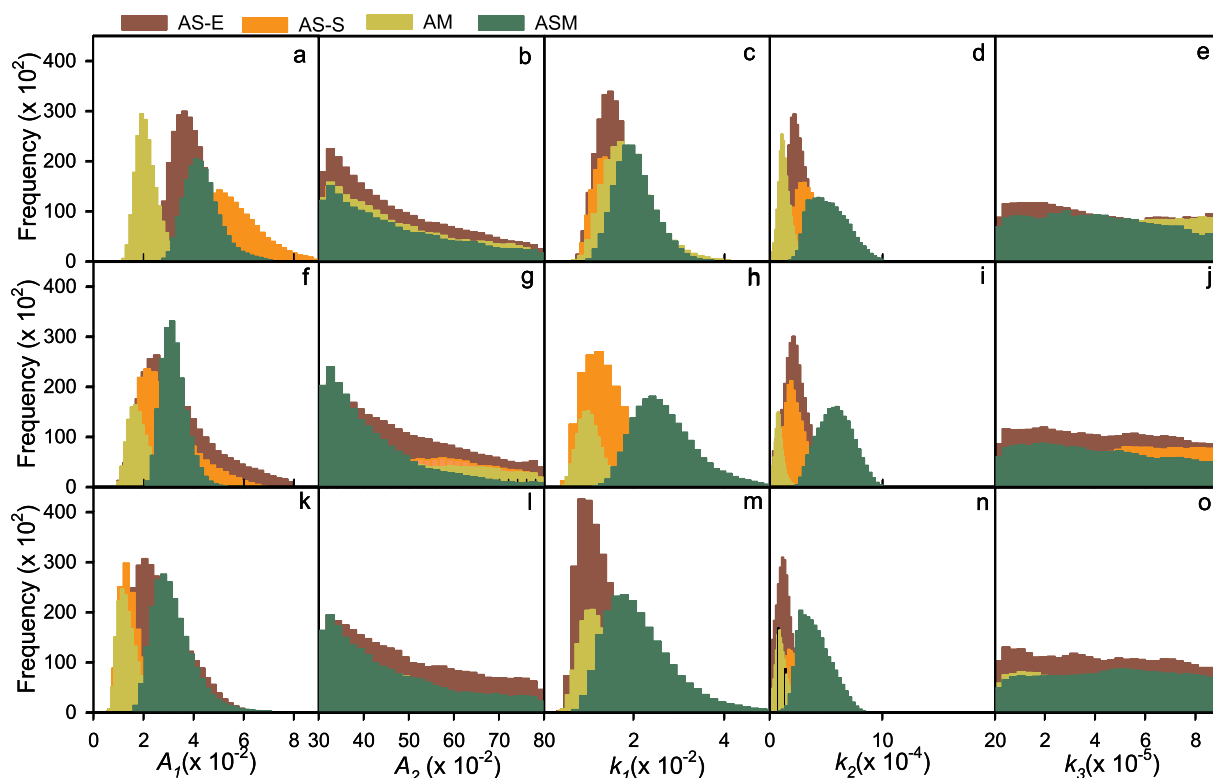


Fig. 3. Frequency distribution of the posterior PDF of the parameters at three soil depths: 0–10 cm (a–e), 10–20 cm (f–j) and 20–30 cm (k–o). *A*<sub>1</sub>: partitioning coefficient of different C pools, *K*<sub>1</sub>: decay rate of different C pools.

**Table 4**  
The maximum likelihood estimates (MLE) or mean of parameters for the C dynamics model.

Depth (cm)	Site	$A_1$ (%)	$A_2$ (%)	$K_1 \times 10^{-2}$ (day $^{-1}$ )	$K_2 \times 10^{-4}$ (day $^{-1}$ )	$K_3 \times 10^{-5}$ (day $^{-1}$ )	$1/K_1$ (day)	$1/K_2$ (year)	$1/K_3$ (year)
0–10	AS-E	3.64	47.05	1.48	2.19	4.22	67.57	12.51	64.92
	AS-S	5.05	46.95	1.37	3.11	4.45	72.99	8.81	61.59
	AM	1.92	47.10	1.71	1.07	4.92	58.48	25.60	55.68
	ASM	4.11	46.77	2.41	5.96	4.14	41.49	4.60	66.1
10–20	AS-E	2.52	48.57	0.875	2.11	4.29	114.29	12.98	63.90
	AS-S	2.16	48.06	1.24	1.95	4.75	80.65	14.05	57.63
	AM	1.67	50.99	0.982	0.731	4.08	101.83	37.48	67.19
	ASM	3.13	41.83	1.95	4.31	4.08	51.28	6.36	67.17
20–30	AS-E	2.05	49.76	0.847	1.14	4.27	118.06	24.03	64.09
	AS-S	1.32	45.64	1.38	1.74	4.27	72.46	15.75	64.12
	AM	1.13	45.95	1.09	0.768	3.98	91.74	35.67	68.79
	ASM	2.79	46.39	1.79	2.75	4.57	55.87	9.96	59.95

$A_i$ : partitioning coefficient of different C pool,  $K_i$ : decomposition rate of different C pool.

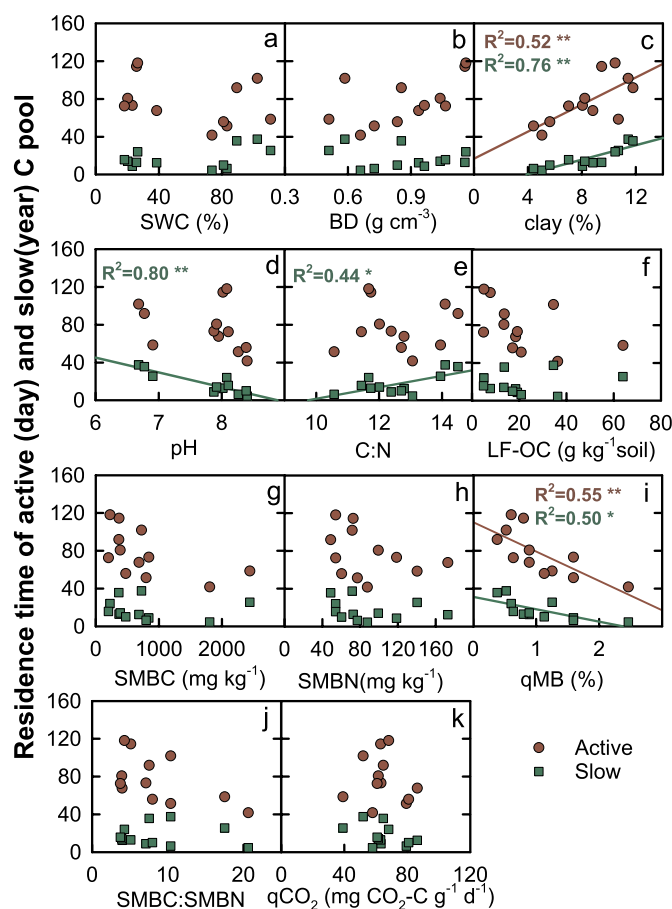
parameters were not constrained, which was likely the results of limited data for calibration. Only a small proportion of the released  $\text{CO}_2$ -C was derived from the passive C pool, which made it difficult to estimate its decay rate. Maximum likelihood estimates were calculated for the well constrained parameters ( $A_1$ ,  $K_1$  and  $K_2$ ), and the mean values were calculated for the non-constrained parameters ( $A_2$  and  $K_3$ ).

Our results revealed that the parameters  $A_1$ ,  $A_2$  and  $A_3$  were different between vegetation types, ranging between 1.13% and 5.05%, 41.83% and 50.99%, and 47.37% and 55.04%, respectively (Table 4). The mean parameters  $A_1$ ,  $A_2$  and  $A_3$  for the three soil depths was the highest at the ASM site (3.34%), the AS-E site (48.46%) and the ASM site (51.66%), respectively, and the lowest at the AM site (1.57%), the ASM site (45.00%) and the AS-E site (48.80%), respectively. The residence times of the active ( $RT_A$ ), slow ( $RT_S$ ) and passive ( $RT_P$ ) C pools ranged from 41 and 118 days, 5 and 37 years, and 56 and 69 years, respectively (Table 4). The ASM site exhibited the lowest  $RT_A$  across all soil depths, while the AS-S and AS-E sites showed the highest  $RT_A$  for the 0–10 cm and 10–30 cm soil depths, respectively. The  $RT_S$  were the highest at the AM site (26 to 37 years), followed by the AS-E (13 to 24 years) and AS-S site (9 to 16 years), with the lowest value at the ASM site (5 to 10 years). The  $RT_P$  was highest in ASM site and lowest in AM site in the 0–10 cm soil layer. The AM site had the highest  $RT_P$  in the 10–30 cm soil layers, while the lowest  $RT_P$  in the 10–20 and 20–30 cm soil layers was in AS-S and ASM sites, respectively.

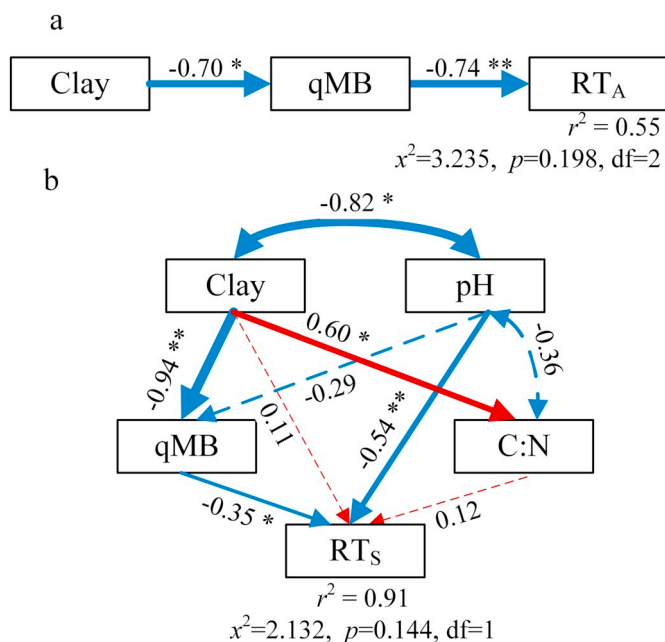
### 3.4. Linking soil C residence time to physical, chemical and microbial properties

Based on the data assimilation results, we analyzed the linear relationships between the well constrained residence time ( $RT_A$  and  $RT_S$ ) and soil properties. Linear regression analyses indicated that the  $RT_A$  and  $RT_S$  were significantly associated with soil physical, chemical, and microbial properties (Fig. 4). The  $RT_A$  was significantly positively correlated with the clay content ( $R^2 = 0.52$ ,  $p < 0.01$ ; Fig. 4c) and negatively correlated with qMB ( $R^2 = 0.55$ ,  $p < 0.01$ ; Fig. 4i). The  $RT_A$  was not significantly affected by the other soil physical, chemical and microbial properties measured in this study (Fig. 4). The  $RT_S$  significantly increased with the clay content ( $R^2 = 0.76$ ,  $p < 0.01$ ) and C:N ratio ( $R^2 = 0.44$ ,  $p < 0.05$ ) but significantly declined with soil pH ( $R^2 = 0.80$ ,  $p < 0.01$ ) and qMB ( $R^2 = 0.50$ ,  $p < 0.05$ ).

The SEM analyses showed that our model explained 55% and 91% of the variations in the  $RT_A$  and  $RT_S$ , respectively (Fig. 5). The qMB had direct negative effects on the  $RT_A$  and  $RT_S$  whereas the clay content had



**Fig. 4.** Relationship between the residence time of active (dark red solid circles) and slow C pool (dark green solid squares) with soil physical (SWC, BD and clay), chemical (pH, C:N, and LF-OC), and microbial (SMBC, SMBN, qMB, SMBC:SMBN and  $q\text{CO}_2$ ) properties. SWC, soil water content; BD, bulk density; LF-OC, light fraction of soil organic carbon; SMBC, soil microbial biomass carbon; SMBN, soil microbial biomass nitrogen; qMB, microbial quotient, the ratio of SMBC to soil organic carbon;  $q\text{CO}_2$ , microbial metabolic quotient, the ratio of basal respiration rate to SMBC. \* $p < 0.05$ , \*\* $p < 0.01$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 5.** Structural equation modeling (SEM) examining the direct and indirect effects on the residence times of active ( $RT_A$ , a) and slow ( $RT_S$ , b) C pools. Solid and dashed arrows indicate significant ( $p < 0.05$ ) and non-significant ( $p > 0.05$ ) effects. Red and blue arrows represent positive and negative effects, respectively. The arrow widths are proportional to the strength of the relationship. qMB, microbial quotient. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

strong indirect effects on the  $RT_A$  and  $RT_S$  through its negative correlation with qMB. Compared to the standardized path coefficients for residence time, the direct effect of the qMB decreased from  $-0.74$  on the  $RT_A$  to  $-0.35$  on the  $RT_S$ , and the indirect effect of the clay content decreased from  $0.52$  on the  $RT_A$  to  $0.33$  on the  $RT_S$ . Moreover, soil pH only had consistent direct negative effects on the  $RT_S$  (Fig. 5b).

#### 4. Discussion

Numerous previous studies have focused on the residence times (i.e. the inverse of decomposition rate) of different C pools and their controlling factors, and demonstrated that various soil properties (including physical, chemical and microbial properties) regulated the residence times of different C pools (Mtambanengwe et al., 2004; Schädel et al., 2013; Wang et al., 2003; Xu et al., 2016). However, most of those studies separated the SOC into different pools primarily based on physical or chemical fractionations, which were not consistent with the classification of conceptual models. Thus, these methods limited our capacity to understand terrestrial C dynamics and predict climate-C feedbacks. Our data assimilation approach separated the SOC into different pools based on the classification of conceptual model. Therefore, investigations of their control factors are essential to predict C-climate feedbacks.

##### 4.1. Effect of qMB on C residence time

The qMB, which can be interpreted as the availability of soil C to decomposers and the proportion of soil C immobilized within microbial cells (Sparling, 1992), is a more sensitive parameter in revealing microbial activities than SMBC measured alone (Arai et al., 2014; Deng et al., 2016; Serna-Chavez et al., 2013). Therefore, the qMB can be employed as an important index for the decomposition of SOC and may reflect the stabilization of soil C (Landgraf and Klose, 2002; Landgraf

et al., 2005; Liao et al., 2011). Our results illustrated that the C residence times increased significantly with decreasing qMB ( $p < 0.05$ , Fig. 4i), and were directly influenced by the qMB (Fig. 5), which was in line with the previous studies (Landgraf et al., 2005; Liao et al., 2011; Wen et al., 2014). Larger qMB could indicate that soil microbes were more efficient for converting SOC into SMBC, suggesting higher substrate quality for soil microorganisms whereas lower qMB signified a decrease in the availability of substrate (Pajares et al., 2009; Wang et al., 2009; Wei et al., 2011; Wen et al., 2014). Our results also showed that the qMB were positively correlated with the LF-OC:SOC ratio and active C pool size ( $A_1$ ) ( $p < 0.05$ , Fig. S1), suggesting that higher soil quality was associated with larger qMB. Furthermore, the increased qMB indicated an increase in microbial functional diversity (Lagomarsino et al., 2011), and thus result in the increase in decomposition of SOC. In addition, the decrease in qMB was significantly correlated with the reduction in fungal:bacterial ratio (Liu et al., 2012). The decreasing proportion of fungi would reduce the decomposition of recalcitrant substrates (Paterson et al., 2008). Consequently, the qMB was positively correlated with the decomposition of active and slow C pool.

##### 4.2. Effect of clay content on C residence time

Soil clay is an important factor regulating SOC decomposition, storage, and stabilization (Oades, 1988; Six et al., 2002; Wagner et al., 2007). Therefore, it has been included in several simulation models as a key element for the control of soil C dynamics (Parton et al., 1987; Percival et al., 2000). It is generally accepted that the SOC decomposition rate is reduced as the clay content increases (i.e. SOC residence time increases with higher clay content) (Giardina et al., 2001; Xu et al., 2016). Consistent with other studies, our results also showed that there was a positive relationship between the clay content and SOC residence time ( $p < 0.01$ , Fig. 4c).

Two core mechanisms have been proposed to illustrate the effect of soil clay content on the SOC decomposition rate, including chemical and physical protection (Plante et al., 2006; Six et al., 2002; Wagner et al., 2007). First, soil clay particles are anticipated to promote the adsorption of soil organic matter and even the relevant enzymes, and to form organo-mineral complexes due to a high specific surface area, thereby providing the chemical protection of SOC in clay soils (Oades, 1988; von Lutzow et al., 2006). The formed organo-mineral complexes can also increase organic matter hydrophobicity and reduce the availability of substrates and enzyme, thus preventing microorganisms from decomposing organic matter (Six et al., 2002; von Lutzow et al., 2006). Moreover, soil organic matter in the clay fraction is more recalcitrant, in contrast to the soil organic matter in the sand or silt fractions (Christensen, 2001; von Lutzow et al., 2006). Generally, organic matter was dominated by fragments of plant and microbial tissues (i.e. carbohydrates and protein C) in sand particles, by partially decomposed residue components (lignin and alkyl structures increased) in silt particles, and by recalcitrant chemical structures (i.e. alkyl C) in clay particles (Baldock and Skjemstad, 2000). Chen and Chiu (2003) indicated that the humification index (alkyl/O-alkyl-C) increased with decreasing soil particle-size. These results demonstrated an accumulation of recalcitrant organic matter in the fine particle-size fractions. Second, soil texture (particularly the soil clay content) can also influence the formation of soil aggregates (McLauchlan, 2006; von Lutzow et al., 2006). Generally, high concentrations of soil clay are associated with increased aggregation (particularly microaggregates) and the stability of aggregates (McLauchlan, 2006). Furthermore, with increased soil clay content, the proportion of small pores increases, which hinders the decomposition of SOC (Mtambanengwe et al., 2004). When organic C is occluded within aggregates, it is rendered inaccessible to soil microbes and their enzymes due to pore size exclusion, hence, it is physically protected from microbial decomposition (von Lutzow et al., 2006). Chenu and Stotzky (2002) indicated that most fungi were found



in the external spaces of aggregates. Mtambanengwe et al. (2004) also suggested that soil microorganisms mainly live in certain sized pores. Furthermore, these aggregates may also decrease the diffusion of oxygen and alter soil moisture, which can restrict aerobic decomposition (von Lutzow et al., 2006). According to the above discussion, in line with our study, increased clay content translated to lower SOC decomposition rate. Additionally, several studies put forward that the clay content regulated the decomposition of recalcitrant SOC pools only (McLauchlan, 2006; Wang et al., 2003). However, our results showed that the decomposition of active and slow C pools was mediated by the clay content. Although organic C were bound to clay particle surfaces, or occluded within aggregates assumed to be recalcitrant C, soil clay may influence soil moisture, oxygen availability, and microbial communities (McLauchlan, 2006; von Lutzow et al., 2006). Our study also demonstrated that soil clay content affected the C residence times through the regulation of soil qMB (Fig. 5). Therefore, the decomposition of both active and slow C pools was influenced by the clay content.

#### 4.3. Effect of pH and C:N on C residence time

Another important factor that regulates the C residence time is soil pH, which directly influences SOC solubility or indirectly impacts soil microbial communities and activities (Rousk et al., 2009; Tavakkoli et al., 2015). In general, it is often assumed that the SOC decomposition rate is enhanced with higher soil pH of acidic soils (Malik et al., 2018; Marinos and Bernhardt, 2018). However, there are many inconsistent views as relates to the effects of soil pH on the SOC decomposition rate in neutral and alkaline soils (Ding et al., 2016b; Gonzalez-Dominguez et al., 2019; Xu et al., 2016). Our results indicated that increased soil pH (6.7–8.4) resulted in the amplification of the slow C pool decomposition rate. High soil pH enhances substrate availability due to the desorption of organic matter by reducing the binding force of clay (Tavakkoli et al., 2015; Zhang et al., 2018). Additionally, the organic C bound to clay particle surfaces was assumed to be recalcitrant C (Christensen, 2001; Jindaluang et al., 2013). Moreover, the activities of soil residing oxidative enzymes (i.e. peroxidase and phenol oxidase) associated with the decay of recalcitrant organic C were found to be higher in alkaline soils than other soils (Stursova and Sinsabaugh, 2008). Consequently, high soil pH might promote the decomposition of the slow C pool. It is generally accepted that soil pH is the dominant factor that influences soil microbial communities and activities, which, in turn, governs the decomposition of SOC (Rousk et al., 2009). However, our results revealed that there were no relationships between soil pH and microbial properties (Fig. S2), which may have been due to soil pH (6.7–8.4) being suitable for microbial activity. Blagodatskaya and Kuz'yakov (2008) also suggested that microbial activity was higher with soil pH ranged from 6 to 8.

The soil C:N ratio might reflect the quality of soil organic matter, and thus control microbial activity, while influencing the SOC decomposition and C residence times (Chen et al., 2016b). Generally, the C:N ratio is positively correlated with the C residence time (Xu et al., 2016). High soil C:N ratios indicate the low N availability, which leads to the reduced SOC decomposition (Xu et al., 2016). Our findings are partly consistent with previous studies, as our results indicated only that the soil C:N ratio was positively correlated with the slow C pool residence time. Most of the organic matter was the recalcitrant C matter pool, particularly at our study sites, where > 90% of the C pool was slow and passive (Table 4). Accordingly, the C:N ratio cannot actually represent the C:N ratio of the active C pool. Huang et al. (2011) indicated that there was almost no difference between soil C:N ratio and heavy fraction (recalcitrant) C:N ratio, however, there was a significant difference between the C:N ratio and light fraction (labile) C:N ratio.

## 5. Conclusions

This study demonstrated that the data assimilation approach could

accurately estimate the residence times of active and slow C pools based on the time-series data of soil incubation experiments. Our results revealed that (1) the  $RT_A$  increased with the clay content and significantly decreased with qMB; (2) the  $RT_S$  significantly increased with clay content and C:N ratio, but significantly decreased with soil pH and qMB; and (3) the determinants of  $RT_A$  and  $RT_S$  were different, as qMB was more important for the  $RT_A$ , while soil pH was the most important regulator of the  $RT_S$ . Our findings improve our understanding of terrestrial C dynamics, and are essential to accurately predict future C-climate feedbacks on the Tibetan Plateau.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.geoderma.2019.113942>.

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